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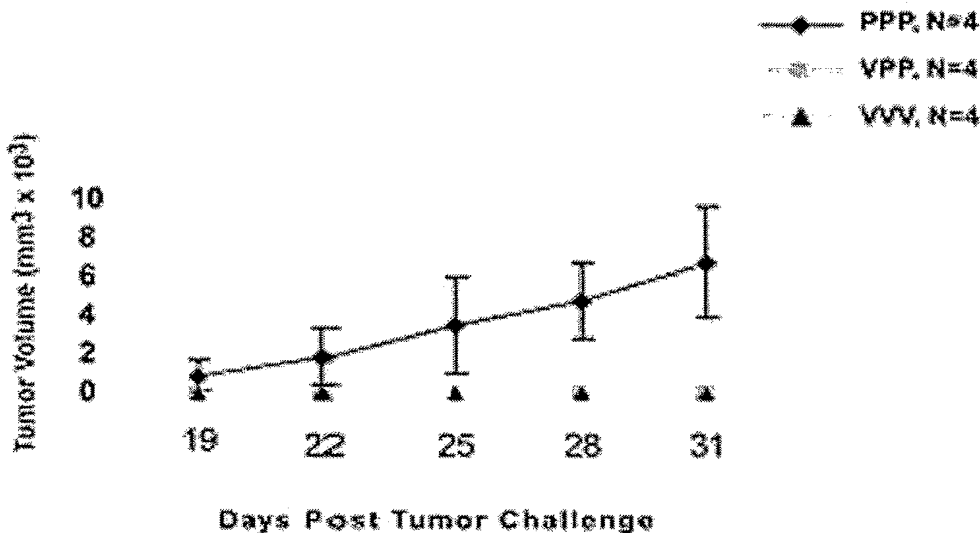
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(54) Title: METHODS FOR GENERATING IMMUNITY TO ANTIGEN



(57) Abstract: Provided are methods of generating an immune response to an antigen. The method comprises priming an individual by administering an expression vector encoding the antigen. The vectors comprises a transcription unit encoding a secretable fusion protein, the fusion protein containing an antigen and CD40 ligand. Administration of a fusion protein containing the antigen and CD40 ligand is used to enhance the immune response above that obtained by vector administration alone. The invention methods may be used to generate an immune response against cancer expressing a tumor antigen such as a mucin or human papilloma viral tumor antigen and to generate an immune response against an infectious agent. Also provided is a method for simultaneously producing the expression vector and the fusion protein.

WO 2005/058950 A2



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

METHODS FOR GENERATING IMMUNITY TO ANTIGEN

FIELD OF THE INVENTION

[0001] The present invention relates to methods of developing immunity against an antigen using an expression vector that expresses a secretable fusion protein comprising an antigen fused to CD40 ligand. The methods also relate to an immunization scheme of priming with the expression vector and boosting with a protein antigen. The invention also relates to an approach for producing the vector and the protein antigen simultaneously in a production cell system.

BACKGROUND OF THE INVENTION

[0002] The following discussion of the background of the invention is merely provided to aid the reader in understanding the invention and is not admitted to describe or constitute prior art to the present invention. This application claims priority to U.S. application serial nos. 60/529,016, filed December 11, 2003, which is incorporated herein in its entirety including the drawings. Applications related to this application are PCT/US03/36237 filed 11/12/03 entitled "adenoviral vector vaccine" and U.S. provisional patent applications 60/524,925 (filed November 24, 2003), 60/525,552 (filed November 25, 2003), and 60/529,015 (filed December 11, 2003), all of which are incorporated herein in their entirety including the drawings.

[0003] The activation of antigen presenting cells (APCs) which includes the dendritic cells (DCs), followed by loading of the antigen presenting cell with relevant antigens, is a requisite step in the generation of a T cell dependent immune response against cancer cells. Once activated and loaded with tumor antigens, DCs migrate to regional lymph nodes (LNs) to present antigens to T cells. Very commonly, these APCs express insufficient amounts of surface activation molecules which are required for optimal activation and expansion of T cell clones competent to recognize tumor antigens. See Shortman, et al., *Stem Cells* 15:409-419, 1997.

[0004] Antigen presentation to naive T cells, in the absence of costimulatory molecule expression on the surface of the APC, leads to anergy of the T cells. See Steinbrink, et al. *Blood* 99: 2468-2476, 2002. Moreover, cross-presentation by DCs without CD4⁺ T cell help

also results in peripheral deletion of Ag-specific T cells in regional LNs. See Kusuvara, et al., *Eur J Immunol* 32:1035-1043, 2002. In contrast, in the presence of CD4⁺ T cell help, DCs acquire functional ability to cross-prime T cells, resulting in clonal expansion of effector T cells. See Gunzer, et al., *Semin Immunol* 13:291-302, 2001. This CD4⁺ T cell help can be replaced with CD40-CD40 ligand (CD40L) interactions. See Luft, et al. *Int Immunol* 14:367-380, 2002. CD40L is a 33-kDa type II membrane protein and a member of the TNF gene family and is transiently expressed on CD4⁺ T cells after TCR engagement. See Skov, et al. *J Immunol* 164: 3500-3505, 2000.

[0005] The ability of DCs to generate anti-tumor immune responses *in vivo* has been documented in a number of animal tumor models. See Paglia, et al. *J Exp Med* 183: 317-322, 1996; Zitvogel, et al., *J Exp Med* 183: 87-97, 1996. However, DC-mediated induction of immunity represents a major therapeutic challenge. It is considered difficult to ensure that the antigen presenting cells express appropriate adhesion molecules and chemokine receptors to attract DCs to secondary lymphoid organs for priming T cells. See Fong, et al. *J Immunol* 166: 4254-4259, 2001; Markowicz, et al. *J Clin Invest* 85: 955-961, 1990; Hsu, et al. *Nat Med* 2: 52-58, 1996; Nestle, et al. *Nat Med* 4: 328-332, 1998; Murphy, et al., *Prostate* 38: 73-78, 1999; Dhodapkar, et al. *J Clin Invest* 104: 173-180, 1999.

SUMMARY OF THE INVENTION

[0006] In a first aspect, the invention relates to the use of a fusion protein in developing an immune response to an antigen. In a preferred embodiment, an immune response to an antigen is obtained by administering an expression vector encoding a secretable fusion protein. The vector includes a transcription unit encoding a secretable fusion protein which contains the antigen and CD40 ligand. The fusion protein is also administered before, concurrently or after administration of the vector. Preferably, the fusion protein is administered after the vector.

[0007] In one approach, the sequence encoding the antigen in the fusion protein transcription unit is 5' to sequence encoding the CD40 ligand. In another approach, the sequence encoding the CD40 ligand in the fusion protein transcription unit is 5' to sequence encoding the antigen. In a preferred embodiment, the CD40 ligand lacks all or a portion of its transmembrane domain.

[0008] The antigen may be any antigen to which an immune response may be generated in an individual. In preferred embodiments, the antigen is a tumor antigen; the tumor antigen is the E6 or E7 protein of human papilloma virus; the tumor antigen is a mucin antigen, which may be selected from the group consisting of MUC1, MUC2, MUC3A, MUC3B, MUC4, MUC5AC, MUC5B, MUC6, MUC7, MUC8, MUC9, MUC12, MUC13, MUC15, and MUC16; the mucin antigen is from MUC1; the human epidermal growth factor (EGF) like receptor (e.g., HER1, HER2, HER3 and HER4), the antigen is an infectious agent antigen; the infectious agent antigen is a viral antigen; the infectious agent viral antigen is from human papilloma virus; the viral antigen is the E6 or E7 protein of human papilloma virus.

[0009] In another aspect, the invention provides methods of treating an individual with cancer that expresses a tumor antigen. The method includes administering the expression vector which includes a transcription unit encoding a secretable fusion protein that contains the tumor antigen and CD40 ligand. The fusion protein is also administered before, concurrently or after administration of the vector. Preferably, the fusion protein is administered after the vector.

[0010] In a further aspect, the invention provides a method of generating immunity in a subject to an infectious agent. The method includes administering the expression vector which includes a transcription unit encoding a secretable fusion protein that contains the infectious agent antigen and CD40 ligand. The fusion protein is also administered before, concurrently or after administration of the vector. Preferably, the fusion protein is administered after the vector.

[0011] In yet a further aspect, the invention relates to an approach for producing the vector and the fusion protein together in the same host production cell system. In a preferred embodiment, the fusion protein is expressed from the same vector used to generate immunity by vaccination. In this way, both the vector and the fusion protein can be produced simultaneously through a single production system.

[0012] In preferred embodiments, the expression vector may be a viral expression vector or a non-viral expression vector; the expression vector may be an adenoviral vector; the vector may be advantageously administered subcutaneously; the vector may be administered on a subsequent occasion(s) to increase the immune response; a signal sequence may be placed upstream of the fusion protein for secretion of the fusion protein; immunity against the antigen may be long lasting and involve generation of cytotoxic CD8⁺ T cells against antigen

expressing cells and the production of antibody to the antigen; the transcription unit may include sequence that encodes a linker between the antigen and the CD40 ligand; suitable linkers may vary in length and composition; the expression vector may include a human cytomegalovirus promoter/enhancer for controlling transcription of the transcription unit; and the CD40 ligand may be a human CD40 ligand.

[0013] Abbreviations used herein include “Ad” (adenoviral); “sig” (signal sequence); and “ecd” (extracellular domain).

[0014] These and other embodiments are described in detail below.

BRIEF DESCRIPTION OF THE DRAWINGS

[0015] FIG. 1 shows the nucleotide sequence encoding human MUC1 (SEQ ID NO:1)

[0016] FIG. 2 shows the amino acid sequence of human MUC1 (SEQ ID NO:2).

[0017] FIG. 3 shows the level of interferon gamma produced in an ELISA spot assay using spleen cells from MUC-1 transgenic animals (hMUC-1.Tg) primed with adenoviral expression vector Ad-K/ecdhMUC1- Δ Ct Δ TmCD40L and boosted subcutaneously with either expression vector or the mature fusion protein ecdhMUC1- Δ Ct Δ TmCD40L. The various treatment groups include protein boost seven days after two weekly vector injections (T1), two weeks after two weekly vector injections (T2), one week after one vector injection (T3), and two weeks after one vector injection (T4). In T5, two subcutaneous protein injections (administered two weeks apart) were given starting 7 days after a single vector injection. In T1, two vector injections were given without protein.

[0018] FIG. 4 shows the level of T cell cytotoxicity from MUC-1 transgenic animals (hMUC-1.Tg) primed with adenoviral expression vector Ad-K/ecdhMUC1- Δ Ct Δ TmCD40L and boosted subcutaneously with either expression vector or the mature fusion protein ecdhMUC1- Δ Ct Δ TmCD40L. The various treatment groups are as described in FIG. 3.

[0019] FIG. 5 shows the level of antibody against fusion protein ecdhMUC1- Δ Ct Δ TmCD40L in serum of MUC-1 transgenic animals (hMUC-1.Tg) primed with adenoviral expression vector Ad-K/ecdhMUC1- Δ Ct Δ TmCD40L and boosted subcutaneously with either expression vector or the mature fusion protein ecdhMUC1- Δ Ct Δ TmCD40L. The various treatment groups are as described in FIG. 3. Antibodies were detected in an ELISA.

Microwell plates coated with the fusion protein ecdhMUC1- Δ Ct Δ TmCD40L were reacted with serum, washed and bound mouse antibody detected using rat anti-mouse antibody conjugated to horseradish peroxidase.

[0020] FIG. 6 shows the level growth of MUC1 expressing tumor cells (LL2/LL2hMUC-1) in MUC-1 transgenic animals (hMUC-1.Tg) administered adenoviral expression vector Ad-K/ecdhMUC1- Δ Ct Δ TmCD40L versus one or two subsequent administrations of fusion protein ecdhMUC1- Δ Ct Δ TmCD40L.

[0021] FIG. 7 demonstrates tumor prevention in animals immunized with Ad-sig-ecdhMUC-1/ Δ Ct Δ TmCD40L vector and ecdhMUC-1/ Δ Ct Δ Tm CD40L protein. VVV = three Ad-sig-ecdhMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injections administered on days 1, 7 and 21; PPP = three ecdhMUC-1/ Δ Ct Δ Tm CD40L protein subcutaneous injections administered on days 1, 7 and 21; or VPP = a single Ad-sig-ecdhMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injection followed at days 7 and 21 by ecdhMUC-1/ Δ Ct Δ Tm CD40L protein subcutaneous injections. One week later (day 28), mice were injected subcutaneously with five hundred thousand LL2/LL1hMUC-1 lung cancer cells. Two weeks later (day 42), 500,000 of the LL2/LL1hMUC-1 tumor cells were administered intravenously to test mice via the tail vein. Multiple administrations of vector alone or vector followed by boosting with protein was effective in preventing the establishment of human tumors in mice.

[0022] FIG. 8 demonstrates the levels of hMUC-1 specific antibodies in vaccinated test mice at 63 days following the start of the vaccination. VVV = three Ad-sig-ecdhMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injections administered on days 1, 7 and 21; PPP = three ecdhMUC-1/ Δ Ct Δ Tm CD40L protein subcutaneous injections administered on days 1, 7 and 21; or VPP = a single Ad-sig-ecdhMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injection followed at days 7 and 21 by ecdhMUC-1/ Δ Ct Δ Tm CD40L protein subcutaneous injections. The schedule of one Ad-sig-ecdhMUC-1/ Δ Ct Δ TmCD40L vector subcutaneous injection followed by two successive ecdhMUC-1/ Δ Ct Δ TmCD40L protein subcutaneous injections at 7 and 21 days following the vector injection induced the highest levels of hMUC-1 specific antibodies.

[0023] FIG. 9 demonstrates subcutaneous tumor therapy (post establishment) in animals immunized with Ad-sig-ecdhMUC-1/ Δ Ct Δ TmCD40L vector and ecdhMUC-1/ Δ Ct Δ Tm CD40L protein. VVV = three Ad-sig-ecdhMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injections administered on days 5, 12 and 26; PPP = three ecdhMUC-1/ Δ Ct Δ Tm CD40L

protein subcutaneous injections administered on days 5, 12 and 26; or VPP = a single Ad-sig-ecdMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injection followed at days 12 and 26 by ecdMUC-1/ Δ Ct Δ Tm CD40L protein subcutaneous injections. Subcutaneous tumor (500,000 of the LL2/LL1hMUC-1) was administered on day 1 and vaccinations were carried out at day 5. Tumor was administered i.v. on day 40 and tumor development (subcutaneous and lung) evaluated at day 54.

[0024] FIG. 10 demonstrates lung metastatic tumor nodule therapy (post establishment) in the animals treated as described in FIG. 9. Left panel: The results were similar to the subcutaneous tumor prevention with schedule VVV and VPP most effective. Right panel: the combination of one vector injection followed by two protein injections (VPP) completely suppressed the growth of established lung nodules of the hMUC-1 positive cancer cells.

[0025] FIG. 11 compares various boosting strategies following a single subcutaneous administration of Ad-sig-ecdMUC-1/ecdCD40L vector on the ability of animals to resist development of a MUC-1 expressing tumor. ecdMUC-1/ecdCD40L protein in bacterial extract; ecdMUC-1 linked to the keyhole limpet hemocyanin (KLH), with or without incomplete Freund's adjuvant; PBS (phosphate buffered saline); and control bacterial extract (bacterial host strain not infected with Ad-sig-ecdMUC-1/ecdCD40L vector).

DETAILED DESCRIPTION OF THE INVENTION

[0026] In accordance with one aspect of the invention, a method is provided for generating an immune response against an antigen using an expression vector. The vector includes a transcription unit encoding a secretable fusion protein containing an antigen and CD40 ligand. In a preferred embodiment, the transcription unit includes from the amino terminus, a secretory signal sequence, an antigen, a linker and a secretable form of CD40 ligand. In preferred embodiments, the secretable form of CD40 ligand lacks all or substantially all of its transmembrane domain

[0027] In a preferred approach, the individual is first administered the vector on one or more occasions to generate a primary immune response. The fusion protein is also administered in an effective amount after administration of vector to boost the immune response to the antigen above that obtained with vector administration alone.

[0028] The term "in an effective amount" in reference to administering the fusion protein is an amount that generates an increased immune response over that obtained using the

expression vector alone. A time interval between administrations is generally required for optimal results. An increase in the immune response may be measured as an increase in T cell activity or antibody production (see e.g., FIGs. 3-5). Generally, at least one week between vector administration and protein boosting is effective although a shorter interval may be possible. An effective spacing between administrations may be from 1 week to 12 weeks or even longer. Multiple boosts may be given which may be separated by from 1-12 weeks or even longer periods of time.

[0029] The use of the fusion protein to boost the immune response avoids having to repetitively administer the expression vector which might generate hypersensitivity to multiple injections. The antigen portion of the fusion protein is preferably the fusion protein which is encoded by the transcription unit of the expression vector used in the initial administration. However, the antigen portion of the fusion protein may differ from the encoded antigen provided that there is at least one shared antigenic determinant or epitope common to the antigen of the expression vector and that of the fusion protein used for boosting.

[0030] The fusion protein may be prepared in a mammalian cell line system, which is complementary to the vector. Example in the case of adenovirus, the cell line system can be 293 cells that contain the Early Region 1 (E1) gene and can support the propagation of the E1-substituted recombinant adenoviruses. When the adenoviral vectors infect the production cells, the viral vectors will propagate themselves following the viral replication cycles. However, the gene of interest that is carried by the viral vector in the expression cassette will express during the viral propagation process. This can be utilized for preparation of the fusion protein encoded by the vector in the same system for production of the vector. The production of both the vector and the fusion protein will take place simultaneously in the production system. The vector and protein thus produced can be further isolated and purified via different processes.

[0031] The fusion protein may be administered parenterally, such as intravascularly, intravenously, intraarterially, intramuscularly, subcutaneously, or the like. Administration can also be orally, nasally, rectally, transdermally or inhalationally via an aerosol. The protein boost may be administered as a bolus, or slowly infused. The protein boost is preferably administered subcutaneously.

[0032] The fusion protein boost may be formulated with an adjuvant to enhance the resulting immune response. As used herein, the term "adjuvant" means a chemical that, when administered with the vaccine, enhances the immune response to the vaccine. An adjuvant is distinguished from a carrier protein in that the adjuvant is not chemically coupled to the immunogen or the antigen. Adjuvants are well known in the art and include, for example, mineral oil emulsions (U.S. Pat. No. 4,608,251, *supra*) such as Freund's complete or Freund's incomplete adjuvant (Freund, *Adv. Tuberc. Res.* 7:130 (1956); Calbiochem, San Diego Calif.), aluminum salts, especially aluminum hydroxide or ALLOHYDROGEL (approved for use in humans by the U.S. Food and Drug Administration), muramyl dipeptide (MDP) and its analogs such as [Thr¹]-MDP (Byers and Allison, *Vaccine* 5:223 (1987)), monophosphoryl lipid A (Johnson et al., *Rev. Infect. Dis.* 9:S512 (1987)), and the like.

[0033] The fusion protein can be administered in a microencapsulated or a macroencapsulated form using methods well known in the art. Fusion protein can be encapsulated, for example, into liposomes (see, for example, Garcon and Six, *J. Immunol.* 146:3697 (1991)), into the inner capsid protein of bovine rotavirus (Redmond et al., *Mol. Immunol.* 28:269 (1991)) into immune stimulating molecules (ISCOMS) composed of saponins such as Quil A (Morein et al., *Nature* 308:457 (1984)); Morein et al., in *Immunological Adjuvants and Vaccines* (G. Gregoriadis al. eds.) pp.153-162, Plenum Press, NY (1987)) or into controlled-release biodegradable microspheres composed, for example, of lactide-glycolide copolymers (O'Hagan et al., *Immunology* 73:239 (1991); O'Hagan et al., *Vaccine* 11:149 (1993)).

[0034] The fusion protein also can be adsorbed to the surface of lipid microspheres containing squalene or squalane emulsions prepared with a PLURONIC block-copolymer such as L-121 and stabilized with a detergent such as TWEEN 80 (see Allison and Byers, *Vaccines: New Approaches to Immunological Problems* (R. Ellis ed.) pp. 431-449, Butterworth-Hinemann, Stoneman N.Y. (1992)). A microencapsulated or a macroencapsulated fusion protein can also include an adjuvant.

[0035] The fusion protein also may be conjugated to a carrier or foreign molecule such as a carrier protein that is foreign to the individual to be administered the protein boost. Foreign proteins that activate the immune response and can be conjugated to a fusion protein as described herein include proteins or other molecules with molecular weights of at least about 20,000 Daltons, preferably at least about 40,000 Daltons and more preferably at least about 60,000 Daltons. Carrier proteins useful in the present invention include, for example, GST,

hemocyanins such as from the keyhole limpet, serum albumin or cationized serum albumin, thyroglobulin, ovalbumin, various toxoid proteins such as a tetanus toxoid or diphtheria toxoid, immunoglobulins, heat shock proteins, and the like.

[0036] Methods to chemically couple one protein to another (carrier) protein are well known in the art and include, for example, conjugation by a water soluble carbodiimide such as 1-ethyl-3-(3dimethylaminopropyl)carbodiimide hydrochloride, conjugation by a homobifunctional cross-linker having, for example, NHS ester groups or sulfo-NHS ester analogs, conjugation by a heterobifunctional cross-linker having, for example, and NHS ester and a maleimide group such as sulfosuccinimidyl-4-(N-maleimidomethyl) cyclohexane-1-carboxylate and, conjugation with glutaraldehyde (see, for example, Hermanson, *Bioconjugate Techniques*, Academic Press, San Diego, Calif. (1996)); see, also, U.S. Pat. Nos. 4,608,251 and 4,161,519).

[0037] The term “vector” which contains a transcription unit (aka. “expression vector”) as used herein refers to viral and non-viral expression vectors that when administered *in vivo* can enter target cells and express an encoded protein. Viral vectors suitable for delivery *in vivo* and expression of an exogenous protein are well known and include adenoviral vectors, adeno-associated viral vectors, retroviral vectors, herpes simplex viral vectors, and the like. Viral vectors are preferably made replication defective in normal cells. See U.S. Patent no. 6,669,942; 6,566,128; 6,794,188; 6,110, 744; 6,133,029.

[0038] As used herein, the term “cells” is used expansively to encompass any living cells such as mammalian cells, plant cells, eukaryotic cells, prokaryotic cells, and the like.

[0039] The term “adenoviral expression vector” as used herein, refers to any vector from an adenovirus that includes exogenous DNA inserted into its genome which encodes a polypeptide. The vector must be capable of replicating and being packaged when any deficient essential genes are provided in trans. An adenoviral vector desirably contains at least a portion of each terminal repeat required to support the replication of the viral DNA, preferably at least about 90% of the full ITR sequence, and the DNA required to encapsidate the genome into a viral capsid. Many suitable adenoviral vectors have been described in the art. See U.S. Patent nos. 6,440,944 and 6,040,174 (replication defective E1 deleted vectors and specialized packaging cell lines). A preferred adenoviral expression vector is one that is replication defective in normal cells.

[0040] Adeno-associated viruses represent a class of small, single-stranded DNA viruses that can insert their genetic material at a specific site on chromosome 19. The preparation and use of adeno-associated viral vectors for gene delivery is described in U.S. Patent no. 5,658,785.

[0041] Non-viral vectors for gene delivery comprise various types of expression vectors (e.g., plasmids) which are combined with lipids, proteins and other molecules (or combinations of thereof) in order to protect the DNA of the vector during delivery. Fusogenic non-viral particles can be constructed by combining viral fusion proteins with expression vectors as described. Kaneda, *Curr Drug Targets* (2003) 4(8):599-602. Reconstituted HVJ (hemagglutinating virus of Japan; Sendai virus)-liposomes can be used to deliver expression vectors or the vectors may be incorporated directly into inactivated HVJ particles without liposomes. See Kaneda, *Curr Drug Targets* (2003) 4(8):599-602. DMRIE/DOPE lipid mixture are useful a vehicle for non-viral expression vectors. See U.S. 6,147,055. Polycation-DNA complexes also may be used as a non-viral gene delivery vehicle. See Thomas et al., *Appl Microbiol Biotechnol* (2003) 62(1):27-34.

[0042] The term “transcription unit” as it is used herein in connection with an expression vector means a stretch of DNA that is transcribed as a single, continuous mRNA strand by RNA polymerase, and includes the signals for initiation and termination of transcription. For example, in one embodiment, a transcription unit of the invention includes nucleic acid that encodes from 5' to 3,' a secretory signal sequence, an antigen and CD40 ligand. The transcription unit is in operable linkage with transcriptional and/or translational expression control elements such as a promoter and optionally any upstream or downstream enhancer element(s). A useful promoter/enhancer is the cytomegalovirus (CMV) immediate-early promoter/enhancer. See U.S. Patents no. 5,849,522 and 6,218,140.

[0043] The term “secretory signal sequence” (aka. “signal sequence,” “signal peptide,” “leader sequence,” or “leader peptide”) as used herein refers to a short peptide sequence, generally hydrophobic in character, including about 20 to 30 amino acids which is synthesized at the N-terminus of a polypeptide and directs the polypeptide to the endoplasmic reticulum. The secretory signal sequence is generally cleaved upon translocation of the polypeptide into the endoplasmic reticulum. Eukaryotic secretory signal sequences are preferred for directing secretion of the exogenous gene product of the expression vector. A variety of suitable such sequences are well known in the art and include the secretory signal sequence of human

growth hormone, immunoglobulin kappa chain, and the like. In some embodiments the endogenous tumor antigen signal sequence also may be used to direct secretion.

[0044] The term “antigen” as used herein refers broadly to any antigen to which an individual can generate an immune response. “Antigen” as used herein refers broadly to molecule that contains at least one antigenic determinant to which the immune response may be directed. The immune response may be cell mediated or humoral or both.

[0045] As is well known in the art, an antigen may be protein in nature, carbohydrate in nature, lipid in nature, or nucleic acid in nature, or combinations of these biomolecules. An antigen may include non-natural molecules such as polymers and the like. Antigens include self antigens and foreign antigens such as antigens produced by another animal or antigens from an infectious agent. Infectious agent antigens may be bacterial, viral, fungal, protozoan, and the like.

[0046] The term “tumor associated antigen” (TAA) as used herein refers to a protein which is present on tumor cells, and on normal cells during fetal life (onco-fetal antigen), after birth in selected organs, or on many normal cells, but at much lower concentration than on tumor cells. A variety of TAA have been described. An exemplary TAA is a mucin such as MUC1, described in further detail below or the HER2 (neu) antigen also described below. In contrast, tumor specific antigen (TSA) (aka. “tumor-specific transplantation antigen or TSTA) refers to a protein absent from normal cells. TSAs usually appear when an infecting virus has caused the cell to become immortal and to express a viral antigen(s).

[0047] An exemplary viral TSA is the E6 or E7 proteins of HPV type 16. TSAs not induced by viruses include idiotypes the immunoglobulin idiotypes associated with B cell lymphomas or the T cell receptor (TCR) on T cell lymphomas.

[0048] An exemplary viral TSA is the E6 or E7 proteins of HPV type 16. HPV can cause a variety of epithelial lesions of the skin and genital tract. HPV related diseases of the genital tract constitute the second leading cause of cancer death among women in the world. These include genital warts, cervical intraepithelial neoplasia (CIN) and cancer of the cervix. The HPV type most commonly associated with high grade CIN and cervical cancer is HPV type 16. The majority of cervical cancers express the non-structural HPV16-derived gene products E6 and E7 oncoproteins. In HPV-induced cervical cancer model, the E6/E7 oncoproteins are required for maintenance of the malignant phenotype and their expression correlates with the transforming potential of HPV16. In addition to using E6 or E7 as the

tumor antigen, one may use an antigenic fragment of these proteins instead. An antigenic fragment may be determined by testing the immune response with portions of the molecule such as are predicted to carry an epitope using well known computer algorithms (e.g. Hopp and Woods hydrophobicity analysis).

[0049] TSAs not induced by viruses can be idiotypes of the immunoglobulin on B cell lymphomas or the T cell receptor (TCR) on T cell lymphomas. Tumor-associated antigens (TAA) are more common than TSA.

[0050] Both TAA and TSA may be the immunological target of an expression vector vaccine. Unless indicated otherwise, the term “tumor antigen” is used herein to refer collectively to TAA and TSA.

[0051] The term “mucin ” as used herein refers to any of a class of high molecular weight glycoproteins with a high content of clustered oligosaccharides O-glycosidically linked to tandem repeating peptide sequences which are rich in threonine, serine and proline. Mucin plays a role in cellular protection and, with many sugars exposed on the extended structure, effects multiple interactions with various cell types including leukocytes and infectious agents. Mucin antigens also include those identified as CD227, Tumor-associated epithelial membrane antigen (EMA), Polymorphic epithelial mucin (PEM), Peanut- reactive urinary mucin (PUM), episialin, Breast carcinoma-associated antigen DF3, H23 antigen, mucin 1, Episialin, Tumor-associated mucin, Carcinoma-associated mucin. Also included are CA15-3 antigen, M344 antigen, Sialosyl Lewis Antigen (SLA), CA19-9, CA195 and other mucin antigen previously identified by monoclonal antibodies (e.g., see U.S. Patent no. 5,849,876). The term mucin does not include proteoglycans which are glycoproteins characterized by glycosaminoglycan chains covalently attached to the protein backbone.

[0052] At least 15 different mucins have been described including MUC1, MUC2, MUC3A, MUC3B, MUC4, MUC5AC, MUC5B, MUC6, MUC7, MUC8, MUC9, MUC12, MUC13, MUC15, and MUC16 (these may also be designated with a hyphen between “MUC” and the number). The nucleotide sequence and amino acid sequence of these mucins are known. The NCBI and Swiss Prot accession nos. for each of these mucins are as follows: MUC1 (NCBI NM002456, Swiss Prot P15941), MUC2, (NCBI NM002457, Swiss Prot Q02817) MUC3A (NCBI AF113616, Swiss Prot Q02505), MUC3B (NCBI AJ291390, Swiss Prot Q9H195), MUC4 (NCBI NM138299, Swiss Prot Q99102), MUC5AC (NCBI AF043909, Swiss Prot Q8WWQ5), MUC5B (Swiss Prot Q9HC84), MUC6 (NCBI U97698,

Swiss Prot Q8N8I1), MUC7 (NCBI L42983, Swiss Prot Q8TAX7), MUC8 (NCBI U14383, Swiss Prot Q12964), MUC9 (NCBI U09550, Swiss Prot Q12889), MUC12 (Swiss Prot Q9UKN1), MUC13 (NCBI NM017648, Swiss Prot Q9H3R2), MUC15 (NCBI NM145650, Swiss Prot Q8WW41), and MUC16 (NCBI AF361486, Swiss Prot Q8WXI7; aka CA125).

[0053] There are two structurally and functionally distinct classes of mucins: secreted gel-forming mucins (MUC2, MUC5AC, MUC5B, and MUC6) and transmembrane mucins (MUC1, MUC3A, MUC3B, MUC4, MUC12, MUC17). The products of some MUC genes do not fit well into either class (MUC7, MUC8, MUC9, MUC13, MUC15, MUC16).

[0054] The characteristics of particular mucins as TAA in particular cancers is supported by alterations in expression and structure in association with pre-neoplastic and neoplastic lesions (Filipe MI: Invest Cell Pathol 1979, 2:195-216; Filipe MI, Acta Med Port 1979, 1:351-365). For instance, normal mucosa of the stomach is characterized by the expression of MUC1, MUC5A/C, MUC6 mRNA and the encoded immunoreactive protein. Also, high levels of MUC2, MUC3 mucin mRNA and encoded immunoreactive protein are associated with intestinal metaplasia. Gastric cancer exhibits markedly altered secretory mucin mRNA levels compared with adjacent normal mucosa, with decreased levels of MUC5 and MUC6 mRNA and increased levels of MUC3 and MUC4 mRNA. High levels of MUC2 and MUC3 mRNA and protein are detectable in the small intestine, and MUC2 is the most abundant colonic mucin.

[0055] Mucins represent diagnostic markers for early detection of pancreatic cancer and other cell types. Studies have shown, that ductal adenocarcinomas (DACs) and tumor cell lines commonly overexpress MUC1 mucin. See Andrianifahanana et al., Clin Cancer Res 2001, 7:4033-4040). This mucin was detected only at low levels in the most chronic pancreatitis and normal pancreas tissues but is overexpressed in all stages of pancreatic cancers. The *de novo* expression of MUC4 in pancreatic adenocarcinoma and cell lines has been reported (Hollingsworth et al., Int J Cancer 1994, 57:198-203). MUC4 mRNA expression has been observed in the majority of pancreatic adenocarcinoma and established pancreatic cancer cell lines but not in normal pancreas or chronic pancreatitis tissues. MUC4 expression also has been associated with lung cancer (see Nguyen et al. 1996 Tumor Biol. 17:176-192). MUC5 is associated with metastases in non-small cell lung cancer (see Yu et al., 1996 Int. J. Cancer 69:457-465). MUC6 is overexpressed and MUC5AC is *de novo* expressed in gastric and invasive DACs (Kim et al., Gastroenterology 2002, 123:1052-1060).

MUC7 has been reported as a marker for invasive bladder cancer (see Retz et al. 1998 Cancer Res. 58:5662-5666)

[0056] Expression of the MUC2 secreted gel-forming mucin is generally decreased in colorectal adenocarcinoma, but preserved in mucinous carcinomas, a distinct subtype of colon cancer associated with microsatellite instability. MUC2 is increased in laryngeal cancer (Jeannon et al. 2001 Otolaryngol Head Neck Surg. 124:199-202). Another secreted gel-forming mucin, MUC5AC, a product of normal gastric mucosa, is absent from normal colon, but frequently present in colorectal adenomas and colon cancers.

[0057] MUC1, also known as episialin, polymorphic epithelial mucin (PEM), mucin like cancer associated antigen (MCA), CA27.29, peanut-reactive urinary mucin (PUM), tumor-associated epithelial mucin, epithelial membrane antigen (EMA), human milk fat globule (HMFG) antigen, MUC1/REP, MUC1/SEC, MUC1/Y, CD227, is the most well known of the mucins. The gene encoding MUC1 maps to 1q21-q24. The MUC1 gene contains seven exons and produces several different alternatively spliced variants. The tandem repeat domain is highly O-glycosylated and alterations in glycosylation have been shown in epithelial cancer cells.

[0058] MUC1 mRNA is polymorphic in size. There are presently nine isoforms of MUC1 based on alternate splicing (isoform no.: NCBI accession no.; 1: ID P15941-1, 2: ID P15941-2, 3: ID P15941-3, 4: ID P15941-4, 5: P15941-5, 6: ID P15941-6, 7: ID P15941-7, 8: ID P15941-8, and 9: ID P15941-9).

[0059] MUC1 isoform 1 (aka. MUC1/REP) is a polymorphic, type I transmembrane protein containing: 1) a large extracellular domain, primarily consisting of a 20-amino acid (aa) repeat motif (a region known as Variable Number (30 - 100) of tandem repeats - VNTR); 2) a transmembrane domain; and 3) a 72-aa cytoplasmic tail. During biosynthesis, the MUC1/REP protein is modified to a large extent, and a considerable number of O-linked sugar moieties confer mucin-like characteristics on the mature protein. Soon after translation, MUC1/REP is cleaved into two products that form a tightly associated heterodimer complex composed of a large extracellular domain, linked noncovalently to a much smaller protein including the cytoplasmic and transmembrane domains. The extracellular domain can be shed from the cell. Using Swiss Prot P15941 as a reference (see FIG. 1), the extracellular domain (ecm) of MUC1 isoform 1 represents amino acids 24 to 1158, the transmembrane domain represents 1159-1181, and the cytoplasmic domain represents 1182-1255. The SEA

domain represents is 1034-1151 and represents a C-terminal portion of what is referred to as the extracellular domain. The SEA domain of a mucin is generally a target for proteolytic cleavage, yielding two subunits, the smaller of which is associated with the cell membrane.

[0060] MUC1 isoform 5 (aka MUC1/SEC) is a form of MUC1 that is secreted by cells. It has an extracellular domain that is identical to that of isoform 1 (MUC1/REP), but lacks a transmembrane domain for anchoring the protein to a cell membrane. MUC1 isoform 7 (aka MUC1/Y) contains the cytoplasmic and transmembrane domains observed in isoforms 1 (MUC1/REP) and 5 (MUC1/SEC), but has an extracellular domain that is smaller than MUC1, lacking the repeat motif and its flanking region (see Baruch A. et al., 1999 *Cancer Res.* 59, 1552-1561). Isoform 7 behaves as a receptor and binds the secreted isoform 5. Binding induces phosphorylation of isoform 7 and alters cellular morphology and initiates cell signaling through second messenger proteins such as GRB2, (see Zrihan-Licht S. et al., 1995 *FEBS Lett.* 356, 130-136). It has been shown that β -catenin interacts with the cytoplasmic domain of MUC1 (Yamamoto M. et al., 1997 *J. Biol. Chem.* 272, 12492-12494).

[0061] MUC1 is expressed focally at low levels on normal epithelial cell surfaces. See 15. Greenlee, et al., *Cancer Statistics CA Cancer J.* 50, 7-33 (2000); Ren, et al., *J. Biol. Chem.* 277, 17616-17622 (2002); Kontani, et al., *Br. J. Cancer* 84, 1258-1264 (2001); Rowse, et al., *Cancer Res.* 58, 315 (1998). MUC1 is overexpressed in carcinomas of the breast, ovary, pancreas as well as other carcinomas (see also Gendler S.J. et al, 1990 *J. Biol. Chem.* 265, 15286-15293). A correlation is found between acquisition of additional copies of MUC1 gene and high mRNA levels ($p < 0.0001$), revealing the genetic mechanism responsible for MUC1 gene overexpression, and supporting the role of MUC1 gene dosage in the pathogenesis of breast cancer (Bièche I. et al., 1997 *Cancer Genet. Cytogenet.* 98, 75-80). MUC1 mucin, as detected immunologically, is increased in expression in colon cancers, which correlates with a worse prognosis and in ovarian cancers.

[0062] High level expression of the MUC1 antigen plays a role in neoplastic epithelial mucosal cell development by disrupting the regulation of anchorage dependent growth (disrupting E-cadherin function), which leads to metastases. See Greenlee, et al., *Cancer Statistics CA Cancer J.* 50, 7-33 (2000); Ren, et al. *J. Biol. Chem.* 277, 17616-17622 (2002). Non-MHC-restricted cytotoxic T cell responses to MUC1 have been reported in patients with breast cancer. See Kontani et al., *Br. J. Cancer* 84, 1258-1264 (2001). Human MUC1 transgenic mice ("MUC-1.Tg") have been reported to be unresponsive to stimulation with human MUC1 antigen. See Rowse, et al., *Cancer Res.* 58, 315 (1998). Human MUC1

transgenic mice are useful for evaluating the development of immunity to MUC1 as a self antigen.

[0063] MUC1 protein and mRNA have been found in the ER-positive MCF-7 and BT-474 cells as well as in the ER-negative MDA-MB-231 and SK-BR-3 BCC cells. The mRNA Transcript level was higher in ER+ than in ER- cell lines. MUC1 reacts with intracellular adhesion molecule-1 (ICAM-1). At least six tandem repeats of MUC1 are needed (Regimbald et al., 1996 Cancer Res. 56,4244-4249). The tandem repeat peptide of MUC1 from T-47D BCC was found to be highly O-glycosylated with 4.8 glycosylated sites per repeat, which compares to 2.6 sites per repeat for the mucin from milk.

[0064] The term “mucin antigen” as used herein refers to the full length mucin or a portion of a mucin that contains an epitope characterized in being able to elicit cellular immunity using a MUC-CD40L expression vector administered *in vivo* as described herein. A “mucin antigen” includes one or more epitopes from the extracellular domain of a mucin such as one or more of the tandem repeat motifs associated with the VNTR, or the SEA region. A mucin antigen may contain the entire extracellular domain. Also included within the meaning of “mucin antigen” are variations in the sequence including conservative amino acid changes and the like which do not alter the ability of the antigen to elicit an immune response that crossreacts with a native mucin sequence.

[0065] The VNTR consists of variable numbers of a tandemly repeated peptide sequences which differ in length (and composition) according to a genetic polymorphism and the nature of the mucin. The VNTR may also include 5' and 3' regions which contain degenerate tandem repeats. For example, in MUC1, the number of repeats varies from 21 to 125 in the northern European population. In the U.S. the most infrequent alleles contains 41 and 85 repeats, while more common alleles have 60-84 repeats. The MUC1 repeat has the general repeating peptide sequence **PDTRPAPGSTAP**P**AHGVTS**A** (SEQ ID NO: 3). Underlying the MUC1 tandem repeat is a genetic sequence polymorphism at three positions shown bolded and underlined (positions 2, 3 and 13). The concerted replacement DT→ES (sequence variation 1) and the single replacements P→Q (sequence variation 2), P→A (sequence variation 3), and P→T (sequence variation 4) have been identified and vary with position in the domain (see Engelmann et al., 2001 J. Biol. Chem. 276:27764-27769). The most frequent replacement DT →ES occurs in up to 50% of the repeats. Table 1 shows some exemplary tandem repeat sequences.**

Table 1: Mucin Tandem Repeat Sequences

Mucin	Tandem Repeat (SEQ ID NO:)	Mucin source
MUC1	PDTRPAPGSTAPPAHGV TSA (SEQ ID NO: 3)	Mammary
	PDNKPAPGSTAPPAHGV TSA (SEQ ID NO: 33)	Pancreatic
MUC2	PTTTPPITTTTTVTPTPTGTQT (SEQ ID NO: 4)	Intestinal Tracheobronchial
MUC3	HSTPSFTSSITTETTS (SEQ ID NO: 5)	Intestinal Gall Bladder
MUC4	TSSASTGHATPLP VTD (SEQ ID NO: 6)	Colon Tracheobronchial
MUC5AC	TTSTTSAP (SEQ ID NO: 7)	Gastric Tracheobronchial
MUC5B	SSTPGTAHTLTMLTTTATTPTATGSTATP (SEQ ID NO: 8)	Tracheobronchial Salivary
MUC7	TTAAPPTPSATTPAPPSSSAPG (SEQ ID NO: 9)	Salivary
MUC8	TSCPRPLQEGTPGSRAAHALSRRGHRVHELPTS SPGGDTGF (SEQ ID NO: 10)	Tracheobronchial

[0066] Although a mucin antigen as used herein may comprise only a single tandem repeat sequence motif, it should be understood that the immune response will generally be stronger and more efficiently generated if the vector encodes multiple such repeats. The invention vector preferably encodes mucin tandem repeats from 2-4, more preferably from 5-9, even more preferably from 10-19, yet even more preferably from 20-29, still more preferably from 30-39, and still yet more preferably from 40-50. Tandem repeats greater than 50 are possible and may include the number of such repeats found in natural mucins.

[0067] A mucin antigen as this term is used herein also may encompass tandem repeats from different types of mucins. For example, an expression vector may encode tandem repeats from two different mucins, e.g., MUC1 and MUC2. Such a vector also may encode

multiple forms of the SEA domain as well or a combination of tandem repeats and one or more SEA domains.

[0068] A secretable form of an antigen is one that lacks all or substantially all of its transmembrane domain, if present in the mature protein. For example, in the case of a mucin, the transmembrane domain, if present, is generally about 24 amino acids in length and functions to anchor the mucin or a fragment of the mucin in the cell membrane. A secretable form of MUC1 in which all of the transmembrane domain has been deleted is MUC1 missing residues 1159-1181. A mucin (or antigen) missing substantially all of the transmembrane is one where the domain comprises 6 residues or less of sequence at one end of the transmembrane domain, more preferably less than about 4 residues of sequence at one end of the transmembrane domain, even more preferably less than about 2 residues of sequence on one end of the transmembrane domain, and most preferably 1 residue or less on one end of the transmembrane domain. In a preferred embodiment, the vaccine vector transcription unit encodes a secretable form of a mucin (or antigen) lacking the entire transmembrane domain. A mucin that lacks substantially all of the transmembrane domain rendering the mucin secretable is one that contains no more than six residues of sequence on one end of the domain. The extracellular domain of a human mucin such as MUC1 is denoted herein as “ecdMUC1.”

[0069] It should be understood that a mucin which lacks a functional transmembrane domain may still include all or a portion of the cytoplasmic domain and all or a portion of the SEA region, if present.

[0070] A source of DNA encoding the various mucins, and mucin antigens may be obtained from mucin expressing cell lines using a commercial cDNA synthesis kit and amplification using a suitable pair of PCR primers that can be designed from the published mucin DNA sequences. For example, MUC1 or MUC2 encoding nucleic acid may be obtained from CRL-1500 cells, available from the American Type Culture Collection. Mucin encoding DNA also may be obtained by amplification from RNA or cDNA obtained or prepared from human or other animal tissues. For DNA segments that are not that large, the DNA may be synthesized using an automated oligonucleotide synthesizer.

[0071] The term “linker” as used herein with respect to the transcription unit of the expression vector refers to one or more amino acid residues between the carboxy terminal end of the antigen and the amino terminal end of CD40 ligand. The composition and length

of the linker may be determined in accordance with methods well known in the art and may be tested for efficacy. See e.g. Arai et al., design of the linkers which effectively separate domains of a bifunctional fusion protein. *Protein Engineering*, Vol. 14, No. 8, 529-532, August 2001. The linker is generally from about 3 to about 15 amino acids long, more preferably about 5 to about 10 amino acids long, however, longer or shorter linkers may be used or the linker may be dispensed with entirely. Longer linkers may be up to about 50 amino acids, or up to about 100 amino acids. A short linker of less than 10 residues is preferred when the mucin antigen is N-terminal to the CD40 ligand.

[0072] The term “CD40 ligand” (CD40L) as used herein refers to a full length or portion of the molecule known also as CD154 or TNF5. CD40L is a type II membrane polypeptide having a cytoplasmic domain at its N-terminus, a transmembrane region and then an extracellular domain at its C-terminus. Unless otherwise indicated the full length CD40L is designated herein as “CD40L,” “wtCD40L” or “wtTmCD40L.” The form of CD40L in which the cytoplasmic domain has been deleted is designated herein as “ΔCtCD40L.” The form of CD40L where the transmembrane domain has been deleted is designated herein as “ΔTmCD40L.” The form of CD40L where both the cytoplasmic and transmembrane domains have been deleted is designated herein as “ΔCtΔTmCD40L.” The nucleotide and amino acid sequence of CD40L from mouse and human is well known in the art and can be found, for example, in U.S. Patent No. 5,962,406 (Armitage et al.). Also included within the meaning of CD40 ligand are variations in the sequence including conservative amino acid changes and the like which do not alter the ability of the ligand to elicit an immune response to a mucin in conjunction the fusion protein of the invention.

[0073] Murine CD40L (mCD40L) is 260 amino acids in length. The cytoplasmic (Ct) domain of mCD40L extends approximately from position 1-22, the transmembrane domain extends approximately from position 23-46, while the extracellular domain extends approximately from position 47-260.

[0074] Human CD40L (hCD40L) is 261 amino acids in length. The cytoplasmic domain of hCD40L extends approximately from position 1-22, the transmembrane domain extends approximately from position 23-46, while the extracellular domain extends approximately from position 47-261.

[0075] The phrase “CD40 ligand is missing all or substantially all of the transmembrane domain rendering CD40 ligand secretable” as used herein refers to a recombinant form of

CD40 ligand that can be secreted from a cell. The transmembrane domain of CD40L which contains about 24 amino acids in length, functions to anchor CD40 ligand in the cell membrane. CD40L from which all of the transmembrane domain has been deleted is CD40 ligand lacking residues 23-46. CD40 ligand missing substantially all of the transmembrane is one that retains 6 residues or less of sequence at one end of the transmembrane domain, more preferably less than about 4 residues of sequence at one end of the transmembrane domain, even more preferably less than about 2 residues of sequence on one end of the transmembrane domain, and most preferably 1 residue or less on one end of the transmembrane domain. Thus, a CD40L that lacks substantially all of the transmembrane domain rendering the CD40L secretable is one that retains no more than six residues of sequence on one end of the domain. Such as CD40L would contain, in addition to the extracellular domain and optionally the cytoplasmic domain, and no more than amino acids 41-46 or 23-28 located in the transmembrane domain of CD40L. In a preferred embodiment, the vaccine vector transcription unit encodes a secretable form of CD40 containing less than 10% of the transmembrane domain. More preferably, CD40L contains no transmembrane domain.

[0076] It should be understood that a CD40L which lacks a functional transmembrane domain may still include all or a portion of the cytoplasmic domain. Likewise, a CD40L which lacks a functional transmembrane domain may include all or a substantial portion of the extracellular domain.

[0077] As used herein, an expression vector and fusion protein boost is administered as a vaccine to induce immunity to a tumor antigen. The expression vector and protein boost may be formulated as appropriate with a suitable pharmaceutically acceptable carrier. Accordingly, the vectors or protein boost may be used in the manufacture of a medicament or pharmaceutical composition. Expression vectors and the fusion protein may be formulated as solutions or lyophilized powders for parenteral administration. Powders may be reconstituted by addition of a suitable diluent or other pharmaceutically acceptable carrier prior to use. Liquid formulations may be buffered, isotonic, aqueous solutions. Powders also may be sprayed in dry form. Examples of suitable diluents are normal isotonic saline solution, standard 5% dextrose in water, or buffered sodium or ammonium acetate solution. Such formulations are especially suitable for parenteral administration, but may also be used for oral administration or contained in a metered dose inhaler or nebulizer for insufflation. It

may be desirable to add excipients such as polyvinylpyrrolidone, gelatin, hydroxy cellulose, acacia, polyethylene glycol, mannitol, sodium chloride, sodium citrate, and the like.

[0078] Alternately, expression vectors and the fusion protein may be prepared for oral administration. Pharmaceutically acceptable solid or liquid carriers may be added to enhance or stabilize the composition, or to facilitate preparation of the vectors. Solid carriers include starch, lactose, calcium sulfate dihydrate, terra alba, magnesium stearate or stearic acid, talc, pectin, acacia, agar or gelatin. Liquid carriers include syrup, peanut oil, olive oil, saline and water. The carrier may also include a sustained release material such as glyceryl monostearate or glyceryl distearate, alone or with a wax. The amount of solid carrier varies but, preferably, will be between about 20 mg to about 1 g per dosage unit. When a liquid carrier is used, the preparation may be in the form of a syrup, elixir, emulsion, or an aqueous or non-aqueous suspension.

[0079] Expression vectors and the fusion protein may be formulated to include other medically useful drugs or biological agents. The vectors also may be administered in conjunction with the administration of other drugs or biological agents useful for the disease or condition that the invention compounds are directed.

[0080] As employed herein, the phrase "an effective amount," refers to a dose sufficient to provide concentrations high enough to generate (or contribute to the generation of) an immune response in the recipient thereof. The specific effective dose level for any particular subject will depend upon a variety of factors including the disorder being treated, the severity of the disorder, the activity of the specific compound, the route of administration, the rate of clearance of the viral vectors, the duration of treatment, the drugs used in combination or coincident with the viral vectors, the age, body weight, sex, diet, and general health of the subject, and like factors well known in the medical arts and sciences. Various general considerations taken into account in determining the "therapeutically effective amount" are known to those of skill in the art and are described, e.g., in Gilman et al., eds., Goodman And Gilman's: The Pharmacological Bases of Therapeutics, 8th ed., Pergamon Press, 1990; and Remington's Pharmaceutical Sciences, 17th ed., Mack Publishing Co., Easton, Pa., 1990. For administration of vectors, the range of particles per administration typically is from about 1×10^7 to 1×10^{11} , more preferably 1×10^8 to 5×10^{10} , and even more preferably 5×10^8 to 2×10^{10} . A vector can be administered parenterally, such as intravascularly, intravenously, intraarterially, intramuscularly, subcutaneously, or the like. Administration can also be orally, nasally, rectally, transdermally or inhalationally via an aerosol. The vectors may be

administered as a bolus, or slowly infused. The vector is preferably administered subcutaneously.

[0081] As demonstrated herein, vectors encoding tumor associated antigens can induce a protective cellular and humoral immunity against such antigens, including those to which tolerance had developed. Although not wishing to be bound by any theory, it is believed that the invention vaccines generate upon administration a continual local release of the fusion protein composed of the secretable form of the antigen linked to a secretory form of CD40 ligand. As demonstrated herein this facilitates DCs maturation, promoting the development of effective antigen-specific immunity. It is also demonstrated herein that the secretable fusion protein encoding the extracellular domain of human MUC1 and the murine CD40L lacking a transmembrane and cytoplasmic domain (i.e. ecdhMUC1- Δ Ct Δ TmCD40L) produced from an adenoviral vector dramatically enhanced the potency of the cellular immune response to MUC1 expressing tumor cells. Although not wishing to be bound by any theory, it is believed that subcutaneous injection of the Ad-K-ecdhMUC1- Δ Ct Δ TmCD40L vector elicited strong MUC1 specific CD8⁺ T cell-mediated immunity, which prevents the engraftment of cancer cells which express the MUC1 tumor associated antigen.

[0082] The immunity generated against the antigens using the invention methods is long lasting. As used herein, the term long lasting means that immunity elicited by the antigen encoded by the vector can be demonstrated for up to 6 months from the last administration, more preferably for up to 8 months, more preferably for up to one year, more preferably up to 1.5 years, and more preferably for at least two years.

[0083] In one embodiment, immunity to a mucin TAA can be generated by producing a fusion protein that comprises the extracellular domain of MUC1 fused the amino-terminal end of the CD40 ligand from which the transmembrane and cytoplasmic domains were deleted. Construction of such vector is disclosed in the Examples. As was observed herein, subcutaneous administration of this adenoviral vector mucin vaccine induced a very robust and long lasting CD8⁺ cytotoxic T cell lymphocyte dependent systemic immune response against cancer cells which carry the MUC1 antigen. The mucin vaccine induced the production of memory cells, which underlie the long lasting immunity.

[0084] It was observed that vaccination of mice with the adenoviral vector Ad-sig-ecdhMUC1/ecdmCD40L induced an immune response which suppressed the growth of

human MUC1 (hMUC1) antigen positive tumor cells in 100% of mice transgenic for hMUC1 (i.e. these mice are anergic to the hMUC1 antigen prior to the vector injection. See Rowse, et al., *Cancer Res.* 58, 315 (1998). The immune response to the Ad- sig-ecdMUC1/ecdMCD40L vector lasted up to a year and was shown to be antigen specific. These results demonstrated that the Ad- sig-ecdMUC1-ecd/ecdCD40L vector can be used for treating epithelial malignancies that express the MUC1.

[0085] Subcutaneous injection of the adenoviral MUC1 expression vector increased the level of hMUC1 specific T cells in the spleens of injected hMUC1 transgenic mice by 250 fold. The transgenic mice were anergic to the hMUC1 antigen prior to the vector injection. Thus, vector injection overcame the anergy, inducing a CD8⁺ T cell dependent systemic Th1 immune response that was antigen specific, and HLA restricted. The ability to overcome anergy as observed for vaccination with the adenoviral MUC1 expression vector, was not observed when transgenic mice were vaccinated with purified ecdMUC1/ecdCD40L-HIS protein.

[0086] Although not wishing to be bound by any theory, it is believed that the cells infected in the vicinity of the site of subcutaneous injection of the vector release the tumor antigen/CD40 ligand secretory which is taken up by antigen presenting cells (e.g. DCs) in the vicinity of the infected cells. The internalized tumor antigen would be digested in the proteosome with the resultant tumor antigen peptides trafficking to the endoplasmic reticulum where they would bind to Class I MHC molecules. Eventually, the DCs would present the tumor antigen on the surface in the Class I MHC molecule. Activated, tumor antigen-loaded antigen presenting cells would migrate to lymphocyte bearing secondary organs such as the regional lymph nodes or the spleen. During the two weeks of continuous release of the tumor antigen/CD40 fusion protein, CD8 cytotoxic T cell lymphocytes competent to recognize and kill cells, which carried the tumor associated antigens, would be expanded in the lymph nodes and spleen by the presence of the activated and antigen loaded dendritic cells. The continuous nature of the stimulation and the expansion of the tumor antigen specific cytotoxic T cells by the continuous release from the vector infected cells is believed to generate an immune response which would be greater in magnitude than is possible using a vector which carried a tumor antigen/CD40 ligand which is non-secretory.

[0087] The methods of the present invention, therefore, can be used to generate immunity to an antigen which is a self-antigen in an individual. For example, a vector that encodes a mucin antigen from MUC1 can be used to generate CD8⁺ immunity in a human where the

MUC1 mucin antigen is a self antigen. The invention methods also can be used to overcome a state of immunological anergy to an antigen which is a self-antigen.

[0088] The following examples serve to illustrate the present invention. These examples are in no way intended to limit the scope of the invention.

EXAMPLES

1. Construction of adenoviral expression vectors

[0089] The transcription unit, sig-ecdhMUC1- Δ Ct Δ TmCD40L of the adenoviral vector encodes a signal sequence (from an Ig kappa chain) followed by the extracellular domain of human MUC1 which is connected via a linker to a fragment of the CD40 ligand (human or mouse) which contains the extracellular domain without the transmembrane or cytoplasmic domains. The fusion protein was engineered to be secreted from vector infected cells by the addition of the kappa chain signal sequence to the amino-terminal end of the fusion protein.

[0090] The amino acid sequence of human MUC-1 and the encoding nucleotide sequence are shown in FIGs. 2 and 1, respectively. The encoded MUC1 protein represents 1255 amino acids encoded by nucleotides 74 to 3,841 of SEQ ID NO: 1. The first 23 amino acids (encoded by 74 to 142 of SEQ ID NO:1) represent the MUC1 signal sequence which is removed from the mature mucin. The extracellular domain represents about 1135 amino acids from positions 24 to 1158 (encoded by nucleotides 143 to 3547). The tandem repeat region represents approximately 900 amino acids. Amino acids 74 to 126 (encoded by 229 to 451 of SEQ ID NO:1) represents a 5' degenerate tandem repeat region, amino acids 127 to 945 represents the tandem repeat region (encoded by 452 to 2,908 of SEQ ID NO: 1) while amino acids 946 to 962 represent a 3' degenerate tandem repeat region (encoded by 2809 to 2959 of SEQ ID NO:1). The SEA domain represents amino acids 1034 to 1151, the transmembrane domain represents 1159 to 1181, and the cytoplasmic domain represents 1182 to 1255 (see SEQ ID NO:2).

[0091] The transcription unit was introduced into the E1 gene region of the adenoviral vector backbone. After the adenoviral vector particles were generated in HEK 293 cells, the vector DNA was purified by cesium chloride gradient centrifugation. The presence of the signal peptide in the adenoviral vector was confirmed by restriction enzyme analysis and by DNA sequencing.

[0092] A transcription unit that included DNA encoding the signal sequence of the mouse IgG kappa chain gene upstream of DNA encoding human MUC-1 ("sig-ecdMUC-1") was generated by PCR using plasmid pcDNA3-hMUC-1 (gift of Finn O.J., University of Pittsburgh School of Medicine) and the following primers: DNA encoding the mouse IgG kappa chain METDTLLLWVLLLWVPGSTGD (single letter amino acid code) (SEQ ID NO: 11) was prepared by PCR amplification (SEQ ID NOs: 12, 13 and 14) to generate the full 21 amino acid mouse IgG kappa chain signal sequence (the start codon "ATG" is shown bolded in SEQ ID NO:12).

5' - CCACC **ATG** GAG ACA GAC ACA CTC CTG CTA TGG GTA CTG CTG-3'

(SEQ ID NO: 12)

5'- TC CTG CTA TGG GTA CTG CTG CTC TGG GTT CCA GGT TC-3'

(SEQ ID NO:13)

5'- TG CTC TGG GTT CCA GGT TCC ACT GGT GAC GAT G -3'

(SEQ ID NO: 14)

5'- GGT TCC ACT GGT GAC GAT GTC ACC TCG GTC CCA GTC-3'(SEQ ID NO:15)

(forward primer for MUC-1 repeat region)

5'- GAGCTCGAG ATT GTG GAC TGG AGG GGC GGT G-3'

(SEQ ID NO: 16) (reverse primer for MUC-1 repeat region)

sig-ecdMUC-1 with the upstream kappa signal sequence was generated by four rounds of PCR amplification (1st round: primers SEQ ID NOs 15 and 16; 2nd round: primer SEQ ID NOs 14 and 16; 3rd round: primer SEQ ID NOs 13 and 16; 4th round: primer SEQ ID NOs 12 and 16). The sig-ecdMUC-1 encoding DNA was cloned into the pcDNA™ 3.1 TOPO vector (Invitrogen, San Diego, CA) forming pcDNA-sig-ecdMUC-1.

[0093] pShuttle -ΔCtΔTmCD40L (no signal sequence and murine CD40L) was prepared as follows: Plasmid pDC406-mCD40L was purchased from the American Type Culture Collection. A pair of PCR primers (SEQ ID NOs: 17 and 18) was designed to amplify the mouse CD40 ligand from position 52 to 260 (i.e., without the cytoplasmic and transmembrane domains) and include sequence encoding a linker (indicated as "+ spacer") at the 5' end of the amplicon.

Mouse ΔCtΔTmCD40L+ spacer forward primer (MCD40LSPF) (CD40L sequence italicized; cloning site underlined and bolded):

5'- CCG**CTCGAGA**AACGACGCACAAGCACCAAAATCAAAGGTCGAAG

AGGAAGTA -3' (SEQ ID NO: 17).

Mouse CD40L reverse primer (MCD40LR; cloning site underlined)

5'-GCGGGCC CGCGGCCCGCGCTAG TCTAGA GAG TTT GAG TAA GCC AAA AGA
TGA G-3'

(SEQ ID NO: 18)

[0094] The forward primer MCD40LSPF encodes a 10 residue spacer (LEND AQAPKS; single letter code; SEQ ID NO: 19) to be located between the mucin and the CD40 ligand (mCD40L) of the transcription unit. PCR performed using the forward and reverse primers (SEQ ID NOs 17 and 18) and plasmid pDC406-mCD40L as the template resulted in PCR fragment "space+ Δ Ct Δ TmCD40L", which was inserted into the plasmid pcDNA-sig-ecdhmUC1 after restriction endonuclease digestion with XbaI (TCTAGA) and Xho I (CTCGAG). This vector is designated pcDNA-sig-ecdhmUC1/ Δ Ct Δ TmCD40L. A vector was produced that was otherwise the same except that it encoded full length CD40L rather than the truncated form. This vector was made using a CD40 forward primer that annealed to the starting codons of murine CD40L. This vector is designated pShuttleCD40L (no signal sequence).

[0095] The sig-ecdhmUC1/ Δ Ct Δ TmCD40L encoding DNA was cut from the pCDNA3TOPO vector using HindIII-XbaI restriction and inserted into pShuttle-CMV (see Murphy et al., *Prostate* 38: 73-78, 1999) downstream of the CMV promoter. The plasmid is designated pShuttle-sig-ecdhmUC1- Δ Ct Δ TmCD40L. Thus, the transcription unit sig-ecdhmUC1- Δ Ct Δ TmCD40L encodes the mouse IgG kappa chain secretory signal followed by the extracellular domain of human MUC1 followed by a 10 amino acid linker with (ND AQAPK; SEQ ID NO: 19) followed by murine CD40 ligand residues 52-260.

[0096] In some vectors, the mouse HSF1 trimer domain was added between the ecdhmUC1 encoding DNA and Δ Ct Δ Tm CD40L by PCR using plasmid pcDNA-sig-ecdhmUC1/ Δ Ct Δ TmCD40L and the following primers:

5'-AAC AAG CTC ATT CAG TTC CTG ATC TCA CTG GTG GGATCC AAC GAC
GCA CAA GCA CCA AAA TC-3'

(SEQ ID NO: 20).

5'- AGC CTT CGG CAG AAG CAT GCC CAG CAA CAG AAA GTC GTC AAC AAG
CTC ATT CAG TTC CTG-3'

(SEQ ID NO: 21).

5' AAT GAG GCT CTG TGG CGG GAG GTG GCC AGC CTT CGG CAG AAG CAT G-
3'

(SEQ ID NO: 22).

5'GAT ATC CTC AGG CTC GAG AAC GAC GCA CAA GCA CCA AAA GAG AAT GAG
GCT CTG TGG CGG G-3'

(SEQ ID NO: 23).

5'-GCGGGCC CGCGGCCGCGCTAG TCTAGA GAG TTT GAG TAA GCC AAA AGA
TGA G-3'

(SEQ ID NO: 18).

[0097] HSF1/ Δ Ct Δ Tm CD40L with the trimer domain sequence was generated by four rounds of PCR amplification (1st round: primers SEQ ID NOs 23 and 18; 2nd round: primer SEQ ID NOs 22 and 18; 3rd round: primer SEQ ID NOs 21 and 18; 4th round: primer SEQ ID NOs 20 and 18). The HSF1/ Δ Ct Δ Tm CD40L encoding DNA was cloned into pcDNA-sig-hMUC-1 restriction sites XbaI (TCTAGA) and Xho I (CTCGAG). The sequence between MUC1 and mCD40L is as follows:

LENDAQAPKENEALWREVASFRQKHAAQQQKV
VNKLIQFLISLVGSND A Q A P K S (SEQ ID NO: 24), wherein the underlined segment is the trimer sequence which is bonded by the linker LENDAQAPK (SEQ ID NO:25) and NDAQAPKS (SEQ ID NO:26) .

[0098] In some vectors, a His tag encoding sequence was added to the end of the Δ Ct Δ Tm CD40L and was generated by PCR using Plasmid pDC406-mCD40L (purchased from the American Type Culture Collection) and the following primers:

5'-CCG CTCGAG AACGACGCACAAGCACCAAAATCAAAGGTCGAAGAGGAA

GTA -3' (SEQ ID NO: 27) (forward primer)

5'-ATG GTG ATG ATG ACC GGT ACG GAG TTT GAG TAA GCC AAA AGA TGA
GAA GCC-3' (SEQ ID NO: 28) (reverse primer)

5'-GTGC TCTAGA TCA GAATTC ATG GTG ATG GTG ATG ATG ACC GGT ACG
GAG -3' (SEQ ID NO: 29) (poly His region encoded by nucleotides in the box)

[0099] Vector / Δ Ct Δ Tm CD40L/His with the His tag sequence was generated by 2 rounds of PCR amplification (1st round: primers 1 +2; 2nd round: primer 1+3). The

/ΔCtΔTmCD40L/His encoding DNA was cloned into pcDNA-sig-ecdMUC-1 restriction sites XbaI (TCTAGA) and Xho I (CTCGAG).

[00100] The recombinant adenoviral vectors were generated using the AdEasy vector system (Stratagene, San Diego, CA). Briefly the resulting plasmid pShuttle-sig- ecdMUC1-ΔCtΔTmCD40L, and other control adenoviral vectors were linearized with *Pme* I and co-transformed into *E. coli* strain BJ5183 together with pAdEasy-1, the viral DNA plasmid. Recombinants were selected with kanamycin and screened by restriction enzyme analysis. The recombinant adenoviral construct was then cleaved with *Pac* I to expose its Inverted Terminal Repeats (ITR) and transfected into 293A cells to produce viral particles. The titer of recombinant adenovirus was determined by the Tissue culture Infectious Dose (TCID₅₀) method.

[00101] Primers for amplifying human ΔCtΔTmCD40L+ spacer using a human CD40 ligand cDNA template are set forth below.

Human ΔCtΔTmCD40L+ spacer forward primer (HCD40LSPF) (CD40L sequence italicized):

5'- CCG

CTCGAGAACGACGCACAAGCACCAAAATCAGTGTATCTTCATAGAAGGTTGGACA
AG-3' (SEQ ID NO: 30)

Human CD40L reverse primer (HCD40LR)

5'-CCCTCTAGA TCAGAGTTTGAGTAAGCCAAAGGAC-3' (SEQ ID NO: 31)

[00102] These primers will amplify a ΔCtΔTmCD40L+spacer which encodes 47-261 of human CD40L. The forward primer HCD40LSPF encodes a 10 residue spacer (LEND AQAPKS; single letter code; SEQ ID NO: 19) to be located between the tumor antigen and the CD40 ligand (hCD40L) of the transcription unit. PCR performed using the forward and reverse primers (SEQ ID NOs 30 and 31) and Plasmid pDC406-hCD40L as the template results in PCR fragment "space+ΔCtΔTmCD40L(human)," which is inserted into the plasmid pcDNA-sig-ecdMUC1 after restriction endonuclease digestion with XbaI (TCTAGA) and Xho I (CTCGAG). The sig-ecdMUC1 /ΔCtΔTmCD40L (human) encoding DNA was cut from the pCDNA3TOPO using HindIII-XbaI restriction and inserted into pShuttle-CMV (see Murphy et al., *Prostate* 38: 73-78, 1999) downstream of the CMV

promoter. This vector is designated pShuttle sig-ecdhmUC1/ Δ Ct Δ TmCD40L(human). Modification of pShuttle sig-ecdhmUC1/ Δ Ct Δ TmCD40L(human) to include the ecdhmUC1 upstream of the human CD40 ligand sequence was accomplished essentially as described above for the murine CD40 ligand encoding vectors. Thus, the transcription unit sig-ecdhmUC1- Δ Ct Δ TmCD40L(human) encodes the kappa secretory signal followed by the extracellular domain of human MUC1 followed by a 10 amino acid linker (NDAQAPK; SEQ ID NO:19) followed by human CD40 ligand residues 47-261.

[00103] In an alternative approach, DNA encoding the human growth hormone signal sequence MATGSRTSLLLAFLGLCLPWLQEGSA (single letter amino acid code) (SEQ ID NO: 32) could be used in place of the kappa chain signal sequence.

2. Overcoming Anergy to MUC1 in MUC1 transgenic mice

a) Cytokine production of adenoviral infected DCs

[00104] Bone marrow derived DCs was harvested from hMUC-.Tg transgenic mice at 48 hours after exposure to the adenoviral vectors. The cells were exposed to vector at MOI 100, and plated in 24-well plates at 2×10^5 cells/ml. After incubation for 24 hours at 37°C, supernatant fluid (1ml) was harvested and centrifuged to remove debris. The level of murine IL-12 or IFN-gamma released into the culture medium was assessed by enzyme-linked immunoadsorbent assay (ELISA) using the mouse IL-12 p70 or IFN-gamma R & D Systems kits.

[00105] Bone marrow derived DCs contacted with the Ad-sig-ecdmUC1- Δ Ct Δ TCD40L (murine) vector showed significantly increased the levels of interferon gamma and IL-12 cytokines from DCs harvested from the hMUC-.Tg transgenic mice at 48 hours after exposure to the vector. In contrast, virtually no cytokines were detected from restimulated DC's from animals immunized with an adenoviral vector that encoded the extracellular domain of hMUC1 but without fusion to a secretable form of CD40L. These results indicate that the ecdhmUC1/ecdmCD40L (murine) fusion protein forms functional trimers and binds to the CD40 receptor on DCs.

b) Evaluation of trimer formation by ecdhmUC1-HSF1-

Δ Ct Δ TmCD40L fusion protein expressed from Ad-sig-ecdhmUC1-HSF1- Δ Ct Δ TmCD40L-HIS

[00106] Trimerization of ecdhmUC1-HSF1- Δ Ct Δ TmCD40L-HIS fusion protein was evaluated following release from cells transformed with Ad-sig-ecdhmUC1-HSF1-

Δ Ct Δ TmCD40L-HIS vector. The expressed fusion protein was purified from the supernatant of 293 cells exposed to the vector using a His Tag purification kit. Nondenaturing gel electrophoresis showed a molecular weight consistent with trimer formation.

c) Effect of Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L vector injection on establishment of MUC1 expressing cancer cells.

[00107] hMUC-1.Tg mice injected subcutaneously with the Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector were resistant to engraftment by the hMUC1 positive LL2/LL1hMUC1 mouse cancer cells. Control animals not injected with vector were not resistant to the growth of the same cells. Also, hMUC-1.Tg mice injected with the Ad-sig-ecdMUC1/ecdCD40L (murine) vector were not resistant to engraftment by parental cell line (LL2/LL1), which does not express MUC1.

[00108] hMUC-1.Tg mice injected intravenously with ecdMUC1- Δ Ct Δ TmCD40L (murine) protein were not resistant to engraftment by the hMUC1 positive LL2/LL1hMUC1 mouse cancer cells. Furthermore, hMUC-1.Tg mice injected with Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector lived longer than did control vector injected mice subsequently administered the LL2/LL1hMUC1 cell line.

3. Cellular Mechanisms Underlying Breakdown of Anergy

a) Cytokine Release from Vaccinated vs. Non Vaccinated Mice.

[00109] A population of splenic CD8⁺ T lymphocytes was obtained seven days following Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector administration was obtained by depleting CD4⁺ T lymphocytes using CD4⁺ antibody coated magnetic beads. The isolated CD8⁺ T lymphocytes released over 2,000 times the level of interferon gamma as did CD8⁺ T cells from MUC-1.Tg mice administered a control vector (without MUC1).

b) Cytotoxicity Assay

[00110] Splenic T cells collected from hMUC-1.Tg mice 7 days following administration of Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector were cultured with hMUC1 antigen positive LL2/LL1hMUC1 cancer cells *in vitro* for 7 days. The stimulated splenic T cells were mixed in varying ratios with either the hMUC1 positive LL2/LL1hMUC1 cells or the hMUC1 negative LL2/LL1 cancer cells. The results showed that T cells from Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector vaccinated mice were cytotoxic only for the cancer cells expressing hMUC1.

c) Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L vector Injection

Overcomes Resistance to Expansion of hMUC1 Specific T Cells.

[00111] DCs obtained *in vitro* from bone marrow cells were exposed to the Ad-sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector for 48 hours. Splenic CD8⁺ T cells, obtained from hMUC-1.Tg transgenic mice 7 days following no vector injection or subcutaneous injection with the Ad- sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector, were mixed in a 1/1 ratio with the Ad- sig-ecdMUC1/ecdCD40L (murine) vector-infected DCs. The ERK1/ERK2 proteins, the endpoint of the Ras/MAPK signaling pathway, were phosphorylated in the CD8⁺ T cells isolated from Ad- sig-ecdMUC1- Δ Ct Δ TmCD40L vector injected hMUC-1.Tg transgenic mice following 45 minutes of *in vitro* exposure to Ad- sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector infected DCs. In contrast no increase in phosphorylation of ERK1 and ERK2 proteins was seen in CD8 positive T cells from unvaccinated hMUC-1.Tg mice. These results demonstrate that CD8 positive T cells from MUC-1.Tg transgenic mice vaccinated with the Ad- sig-ecdMUC1- Δ Ct Δ TmCD40L (murine) vector were no longer anergic to MUC1.

4. Production of the fusion protein and vector

[00112] The tumor antigen fusion protein was produced directly from an adenoviral vector that carries the expression cassette of the fusion gene encoding the fusion protein. The production cells (e.g. 293 cell line) at 80% confluency in growth medium were infected with the viral vector at the ratio of 10-100 viral particles per cell. The infected cells were further cultured for 48-72 hours, when the viral vectors propagated in the cells and the tumor antigen fusion proteins were expressed in the cells and secreted into culture media. The infected cells were collected when 70-90% of them showed cytopathic effect (CPE). The cell culture media was collected separately. Cell lysates were prepared through 3-time freeze-and-thaw cycles. The viral particles were isolated via the standard procedure (19). The tumor antigen fusion proteins were purified through affinity chromatograph from the collected cell media

5. Amplification of the immune response by protein boosting

[00113] The relative value of protein boosting with the tumor antigen fusion protein versus boosting with the adenoviral expression vector was evaluated.

[00114] hMUC-1.Tg animals were primed by subcutaneous administration of Ad-K/ecdMUC1- Δ Ct Δ TmCD40L vector as described. The protein boost constituted 10 micrograms of ecdMUC-1/ecdCD40L fusion protein injected subcutaneously. The time of

protein boosting and comparison with vector was evaluated in various treatment groups shown in table 2.

Table 2: Immunization Schedule

<u>Testing Group</u>	<u>Week 1</u>	<u>Week 2</u>	<u>Week 3</u>	<u>Week 4</u>
Control	Vector	Vector	Nothing	Nothing
Treatment 1 (T1)	Vector	Vector	Protein	Nothing
Treatment 2 (T2)	Vector	Vector	Nothing	Protein
Treatment 3 (T3)	Vector	Protein	Nothing	Nothing
Treatment 4 (T4)	Vector	Nothing	Protein	Nothing
Treatment 5 (T5)	Vector	Protein	Nothing	Protein
Negative Control	Nothing	Nothing	Nothing	Nothing

[00115] Spleen cells from the different groups were isolated and evaluated by the ELISPOT assay for interferon gamma positivity. As seen in FIG 3, two subcutaneous protein injections at a 14 day interval beginning one week after the initial vector injection showed the greatest elevation of the frequency of positive T cells as compared to no treatment or compared with one or two vector injections without protein boost. The next highest elevation of the frequency of interferon gamma positive T cells was with the T3 group (one protein injection 7 days following the initial vector injection).

[00116] Cytotoxic T cells development in the various immunization groups was also evaluated (FIG. 4). Spleen cells from the various treatment groups were stimulated *in vitro* for 5 days with a hMUC-1 positive cell line (LL1/LL2hMUC-1). CD8 T cells were isolated and mixed with the target cells (LL1/LL2hMUC-1) in a 50/1 ratio. Cytotoxic activity generally followed the ELISPOT assay results, with the T5 group showing the greatest increase levels of LL1/LL2hMUC-1 specific cytotoxic T cell activity. The level of cytotoxicity seen with T cells from the T5 group was nine fold that seen with the negative control group.

[00117] Serum from the animals in the various treatment groups were evaluated for anti-ecdMUC1- Δ Ct Δ TmCD40L specific antibodies in an ELISA. Briefly, microwells coated with the ecdMUC1- Δ Ct Δ TmCD40L protein were incubated with test mouse serum, washed and bound mouse antibody identified using a secondary rat anti-mouse antibody conjugated to horseradish peroxidase.

[00118] FIG. 5 shows a dramatic increase in the level of antibodies to the ecdhMUC1- Δ Ct Δ TmCD40L fusion protein generated by the treatment with one vector injection and two protein injections spaced at a 14 day interval. The increase in the anti-ecdhMUC1- Δ Ct Δ TmCD40L antibodies following the T5 treatment was 2 fold greater than with any of the other treatment group.

[00119] The results from these assays demonstrate that protein boosting is superior to vector boosting in generating cytotoxic T cell activity against tumor antigen expressing cells as well as antibody responses to the tumor antigen. The overall best results with protein boosting were obtained using a single injection of adenoviral expression vector followed one week later with a subcutaneous protein boost, which is repeated two weeks later by another protein boost.

[00120] Antibodies in serum from vaccinated hMUC-1.Tg mice were evaluated for binding to cancer biopsy tissue specimens. Tissue microarrays containing normal breast and breast cancer tissue sections were obtained commercially. Tissue was contacted with serum from transgenic mice immunized with Ad-K/ecdhMUC-1// Δ Ct Δ Tm CD40L vector and boosted later with ecdhMUC-1// Δ Ct Δ Tm CD40L protein. The arrays were washed and then exposed to a horseradish peroxidase (HRP) secondary antibody which recognizes mouse IgG antibody. As a control, the serum was exposed first to a hMUC-1 peptide from the antigenic repeat of the hMUC-1 domain (same as used for the protein boost).

[00121] Serum from the vaccinated mice bound to the breast epithelial cells from biopsy specimens of cancerous epithelial cells. No binding to the intervening fibroblast or stromal cells were observed. Serum from normal mice showed no reaction.

[00122] Serum from hMUC-1.Tg mice vaccinated with the Ad-sig-hMUC-1/ecdCD40L followed by two subsequent administrations of protein sc-hMUC-1/ecdCD40L reacted with biopsy specimens from human prostate cancer on tissue microarray slides.

[00123] To determine specificity of the serum generated antibodies for the hMUC-1 repeat, serum from vaccine immunized animals described above was mixed with increasing amounts of a peptide containing the amino acid sequence from the hMUC-1 repeat. The mixture was then applied to the microarray slides and evaluated for reactivity. A peptide with the same amino acids as the hMUC-1 repeat but with the sequence scrambled ("scrambled peptide") was added to serum from vaccinated animals as a control. The hMUC-1 peptide blocked binding of the antibodies in vaccinated serum to the breast cancer epithelial cells. No

blocking was seen for the scrambled peptide. These suggests demonstrate that the vector prime/protein boost vaccination induced a hMUC-1 specific humoral response reactive with MUC-1 expressed by biopsy specimens of human breast cancer epithelial cells.

[00124] Tumor immunity in protein boosted mice was evaluated. hMUC-1.Tg animals were primed by subcutaneous administration of Ad-K/ecdhMUC1- Δ Ct Δ TmCD40L vector as described or were immunized with one or two administrations of the ecdhMUC1- Δ Ct Δ TmCD40L fusion protein. Animals were then challenged with LL2/LL1hMUC-1 tumor cells.

[00125] FIG. 6 shows that mice vaccinated with the Ad-K/ecdhMUC1- Δ Ct Δ TmCD40L vector survived longer than 120 days (solid bold line), whereas all mice not vaccinated with the Ad-sig-ecdhMUC-1/ecdCD40L vector died by 50 days (broken line). These results show that the vector injections induced a suppression of the growth of the LL2/LL1hMUC-1 cell line in the hMUC-1.Tg mice.

[00126] The specificity of tumor growth suppression for the hMUC-1 antigen was evaluated by comparing rejection of the LL2/LL1hMUC-1 cell line (which is positive for the hMUC-1 antigen) with the LL2/LL1 cell line, which is otherwise identical except for the absence of the hMUC-1 antigen. The results showed subcutaneous injection of the adenoviral vector completely suppressed the growth of the LL2/LL1hMUC-1 cell line but did not the same cells which do not express MUC-1.

[00127] Tumor growth suppression was evaluated using combinations of vector and protein administration. Three combinations of Ad-sig-ecdhMUC-1/ecdCD40L vector and ecdhMUC-1/ecdCD40L protein were administered to hMUC-1.Tg mice before challenge with LL2/LL1hMUC-1 tumor cells. VVV = three Ad-sig-ecdhMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injections administered on days 1, 7 and 21; PPP = three ecdhMUC-1/ Δ Ct Δ Tm CD40L protein subcutaneous injections administered on days 1, 7 and 21; or VPP = a single Ad-sig-ecdhMUC-1/ Δ Ct Δ Tm CD40L vector subcutaneous injection followed at days 7 and 21 by ecdhMUC-1/ Δ Ct Δ Tm CD40L protein subcutaneous injections. See FIG. 7 for further details. The mice were challenged one week later with a subcutaneous injection of five hundred thousand LL2/LL1hMUC-1 lung cancer cells, and two weeks later with an intravenous injection of 500,000 LL2/LL1hMUC-1 tumor cells. The size of the subcutaneous tumor nodules at day were measured by caliper at multiple time points to determine the effect of the various vaccine schedules on the growth of the LL2/LL1hMUC-1

cells as subcutaneous nodules. The metastases were measured by total lung weight following sacrifice.

[00128] FIG. 7 shows that three injections of the fusion protein (PPP) without a preceding Ad-sig-ecd/ecdCD40L vector injection failed to induce complete resistance to the development of the subcutaneous LL2/LL1 tumor. In contrast, the schedule of three successive vector injections (VVV) or one vector injection followed by two protein injections (VPP) completely suppressed the appearance of the subcutaneous LL2/LL1 tumor.

[00129] The levels of specific antibodies in these mice at 63 days following the start of the vaccination were measured (FIG. 8). The schedule of a single vector injection followed by two successive fusion protein boosts (VPP) induced the highest levels of specific antibodies, schedule VVV was intermediate, and schedule PPP was virtually ineffective. Thus, cancer therapy in these animals related somewhat inversely to the antibody response.

[00130] A tumor treatment (post establishment) protocol was also evaluated. In this schedule, subcutaneous tumor (500,000 of the LL2/LL1) was administered on day 1. The three schedules (PPP, VPP and VVV) were accomplished on days 5, 12 and 26. Tumor was administered i.v. on day 35 and tumor development (subcutaneous and lung) evaluated at day 49. Further details are found in the legend to FIG. 9.

[00131] As shown in FIG. 9, the combination of one vector injection followed by two protein injections (VPP) completely suppressed the growth of established subcutaneous positive cancer cell tumor. Three successive vector administrations (VVV) had a small therapeutic affect while three successive protein injections (PPP) had little to no effect.

[00132] The growth of metastatic lung nodules in the pretreatment and post-treatment (pre-establishment) cancer models is shown in FIG. 10. The pretreatment results in FIG. 10, left hand panel show that three successive fusion protein injections (PPP) did not appear to suppress lung nodule growth. In contrast, schedule VVV and schedule VPP appeared to completely suppress the engraftment of the lung cancer in the lungs of the vaccinated animals.

[00133] The post treatment results in FIG. 10, right hand panel show that the combination of one vector injection followed by two protein injections (VPP) completely suppressed the growth of established lung nodules of the positive cancer cells. In contrast, three

successive vector administrations (VVV) and three successive protein injections (PPP) showed some therapeutic effect but less than for the VPP protocol.

[00134] These results suggest that the best overall cancer therapy schedule is the VPP schedule, involving a single injection of Ad-sig-ecdMUC-1/ecdCD40L vector followed in one week by two successive subcutaneous injections, spaced two weeks apart, of the ecdMUC-1/ecdCD40L protein. This protocol is characterized by induction of antibody (humoral immunity) and T cell immunity (cellular immunity) to the mucin antigen.

[00135] Boosting with ecdMUC-1/ecdCD40L soluble protein versus other soluble proteins following a primary administration of the adenoviral expression vector encoding the same protein was evaluated in hMUC-1.Tg animals challenged with MUC-1 expressing tumor (LL2/LL1hMUC-1 cell line). Animals were boosted with a bacterial extract containing ecdMUC-1/ecdCD40 (from a bacterial host strain infected with Ad-sig-ecdMUC-1/ecdCD40L vector); ecdMUC-1 linked to the keyhole limpet hemocyanin (KLH), with or without incomplete Freund's adjuvant; PBS; and control bacterial extract (from a bacterial host strain not infected with Ad-sig-ecdMUC-1/ecdCD40L vector). The tumor cells were given 7 days following the completion of the 2nd protein boost. The results shown in FIG. 12 indicate that boosting with ecdMUC-1/ecdCD40L soluble protein was superior to all other approaches.

6. Construction of Adenoviral vectors encoding HPV E7 – CD40 ligand fusion protein.

[00136] Methods of generating immunity by administering an adenoviral vector expressing a transcription unit fusion protein constituting E7 linked to a secretable form of CD40 ligand was recently reported. Ziang et al., "An adenoviral vector cancer vaccine that delivers a tumor-associated antigen/CD40-ligand fusion protein to dendritic cells" Proc. Natl. Acad. Sci (USA) published November 25, 2003, 10.1073/pnas.2135379100 (vol. 100(25):15101).

[00137] The transcription unit included DNA encoding the signal peptide from the HGH gene upstream of DNA encoding the full length HPV type 16 E7 protein upstream of Δ Ct Δ TmCD40L. DNA encoding the human growth hormone signal sequence MATGSRTSLLLAFLGLCLPWLQEGSA (single letter amino acid code) (SEQ ID NO: 32)

was prepared by annealing phosphorylated oligonucleotides (SEQ ID NOs:33 and 34) to generate the full 26 amino acid HGH sequence with Bgl II and NotI overhangs.

Growth hormone signal upper strand (coding sequence in italics):

5'-GATCT CCACC *ATG GCT ACA GGC TCC CGG ACG TCC CTG CTC CTG GCT TTT GGC CTG CTC TGC CTG CCC TGG CTT CAA GAG GGC AGT GCC* GGC -3' (SEQ ID NO: 33)

Growth hormone signal lower strand:

3'-A GGTGG TAC CGA TGT CCG AGG GCC TGC AGG GAC GAG GAC CGA AAA CCG GAC GAG ACG GAC GGG ACC GAA GTT CTC CCG TCA CGG CCGCCGG -5'. (SEQ ID NO:34)

[00138] Synthetic HGH signal sequence was prepared by annealing the above upper and lower strand oligos. The oligos were dissolved in 50 µl H₂O (about 3 mg/ml). 1 µl from each oligo (upper and lower strand) was added to 48 µl annealing buffer (100 mM potassium acetate, 30 mM HEPES-KOH pH 7.4, and 2 mM Mg-acetate) incubated at 4 minutes at 95°C, 10 minutes at 70°C and slowly cooled to about 4°C. The annealed DNA was phosphorylated using T4 PNK (polynucleotide kinase) under standard conditions.

[00139] The HGH signal sequence with Bgl II and Not I overhangs was inserted via Bgl II and Not I into pShuttle-E7-ΔCtΔTmCD40L(no signal sequence) to yield pshuttle-HGH/E7-ΔCtΔTmCD40L. pShuttle-E7-ΔCtΔTmCD40L (no signal sequence) was prepared by inserting HPV-16 E7 upstream of the CD40 ligand sequence as follows: Sequence encoding the full HPV-16 E7 protein was obtained by PCR amplifying from the HPV viral genome using the following primers:

HPV 16 E 7 forward primer (SEQ ID NO: 35)

5'-ATTT GCGGCCGC TGTAATCATGCATGGAGA-3'

HPV E7 reverse primer (SEQ ID NO: 36)

5-CC CTCGAG TTATGGTTTCTGAGAACAGAT-3'

The resulting amplicon was HPV 16 E 7 encoding DNA with 5' end Not I and 3' end Xho I restriction sites. The E7 DNA was inserted into the pShuttleΔCtΔTmCD40L between the CMV promoter and directly 5' to the spacer of the ΔCtΔTMCD40L sequence using Not I (GCGGCCGC) and Xho I (CTCGAG). The plasmid is designated pShuttle-E7-

ΔCtΔTmCD40L (no signal sequence) and was used for insertion of the HGH signal sequence upstream of E7 to generate HGH/E7-ΔCtΔTmCD40L as already described. Thus, the transcription unit HGH/E7-ΔCtΔTmCD40L encodes the HGH secretory signal followed by

the full length HPV type 16 E7 followed by a 10 amino acid linker with (FENDAQAPKS; SEQ ID NO: 37) followed by murine CD40 ligand residues 52-260.

[00140] A transcription unit that included DNA encoding the signal sequence of the mouse IgG kappa chain gene upstream of DNA encoding the full length HPV type 16 E7 protein ("K/E7") was generated by PCR using HPV16 plasmid and the following primers:

(primer 1) 5'-ACG **ATG** GAG ACA GAC ACA *CTC CTG CTA TGG GTA CTG CTG*-3' (SEQ ID NO: 38)

(primer 2) 5'- TC CTG CTA TGG GTA CTG **CTG CTC TGG GTT CCA GGT TC**-3' (SEQ ID NO: 39)

(primer 3) 5'- TG CTC TGG GTT CCA *GGT TCC ACT GGT GAC ATG CAT G*-3' (SEQ ID NO: 40);

(primer 4) 5'- TGG GTT CCA GGT TCC ACT GGT GAC ATG CAT GGA G AT ACA CCT AC-3' (SEQ ID NO: 41); and

(primer 5) 5'- CCG **CTC GAG** TGG TTT CTG AGA ACA GAT GGG GCA C -3.' (SEQ ID NO: 42)

K/E7 with the upstream kappa signal sequence was generated by four rounds of PCR amplification (1st round: primers 4 +5; 2nd round: add primer 3; 3rd round: add primer 2; 4th round: add primer 1). The K/E7 encoding DNA was cloned into the pcDNA™ 3.1 TOPO vector (Invitrogen, San Diego, CA) forming pcDNA-K/E7.

[00141] A DNA fragment that contained the mouse CD40 ligand from which the transmembrane and cytoplasmic domain had been deleted (Δ Ct Δ TmCD40L) was generated from a mouse CD40 ligand cDNA Plasmid (pDC406-mCD40L; ATCC) using the following PCR primers:

5'-CCG **CTCGAG** *AAC GAC GCA CAA GCA CCA AAA AGC AAG GTC GAA GAG GAA GTA AAC CTT C*-3' (SEQ ID NO: 43); and

5'-CGCGCCGCGCGCTAG **TCTAGA** *GAGTTTGAGTAAGCCAAAAGATGAG*-3' (SEQ ID NO: 44) (high fidelity PCR kit, Roche).

Fragment Δ Ct Δ TmCD40L was digested with Xba I and XhoI restriction endonucleases and then ligated into pcDNA-E7. K/E7- Δ Ct Δ TmCD40L fragment was cut from the pcDNA vector and inserted into the pShuttle plasmid using Hind III and Xba I sites (pShuttle K/E7-Ct Δ TmCD40L). Thus, the K/E7- Δ Ct Δ TmCD40L fragment includes the kappa chain secretory signal followed by the full length HPV type 16 E7 followed by a 10 amino acid linker (LQNDAPKKS; SEQ ID NO: 31) followed by murine CD40 ligand residues 52-260.

[00142] A vector encoding E7 fused to human CD40 ligand lacking a transmembrane domain is prepared by inserting "space+ΔCtΔTmCD40L(human)" (prepared as described above) into the plasmid pShuttle-CMV (13) after restriction endonuclease digestion with Hind III (AAGCTT) and Xho I (CTCGAG). This vector is designated pShuttleΔCtΔTmCD40L(human). Modification of pShuttleΔCtΔTmCD40L(human) to include the HPV-16 E7 upstream of the human CD40 ligand sequence was accomplished essentially as described above for the murine CD40 ligand encoding vectors. The resulting plasmid is designated pShuttle-E7-ΔCtΔTmCD40L(human)(no signal sequence) and is used for insertion of the HGH signal sequence upstream of E7 to generate HGH/E7-ΔCtΔTmCD40L(human). Thus, the transcription unit HGH/E7-ΔCtΔTmCD40L(human) encodes the HGH secretory signal followed by the full length HPV type 16 E7 followed by a 10 amino acid linker (FENDAQAPKS; SEQ ID NO:19) followed by human CD40 ligand residues 47-261.

7. Construction of adenoviral vectors encoding ratHER2(Neu)/CD40L

[00143] The overexpression of the Her-2-Neu (H2N) growth factor receptor in 30% of breast cancers is associated with increased frequency of recurrence after surgery, and shortened survival. Mice transgenic for the rat equivalent of HER2 ("H2N" or "rH2N") gene and therefore tolerant of this gene (Muller et al., *Cell* 54: 105-115, (1998); Gut et al. *Proc. Natl. Acad. Sci. USA* 89: 10578-10582, (1992)) were used as experimental hosts for evaluating immunity in the Ad-sig-rH2N/ecdCD40L vector. In this model, the mouse is made transgenic for a normal unactivated rat Her-2-Neu gene under the control of a mammary specific transcriptional promoter such as the MMTV promoter. The MMTV promoter produces overexpression of a non-mutant rat Her-2-Neu receptor, which is analogous to what occurs in human breast cancer. This model produces palpable tumor nodules in the primary tissue (the breast) at 24 weeks as well as pulmonary metastases at 32 weeks. The development of breast cancer occurs spontaneously. The cancer begins focally as a clonal event in the breast epithelial tissue through a step-wise process (*Id.*). Dysplasia can be detected by 12 weeks of birth. Palpable tumors in the mammary glands can be detected at 25 weeks, and metastatic breast cancer in the lung can be demonstrated in 70% of mice by 32 weeks (*Id.*).

[00144] Ad-sig-rH2N/ecdCD40L vector was subcutaneously administered to transgenic animals one or two times at 7 day intervals to test if an immune response could be induced

against the rat Her-2-Neu antigen. Two subcutaneous injections of the Ad-sig-rH2N/ecdCD40L vector induced complete resistance to the growth of the N202 (rH2N positive) mouse breast cancer cell line, whereas one subcutaneous injection of the same vector did not induce sufficient immune response to completely suppress the growth of the rH2N positive N202 cell line. ELISPOT assays showed that the administration of two subcutaneous injections of the Ad-sig-rH2N/ecdCD40L vector 7 days apart induced levels of rH2N specific T cells in the spleens of vaccinated mice which were 10 times higher than the levels of rH2N specific T cells induced in mice following one injection of the Ad-sig-rH2N/ecdCD40L vector. Finally, the immune resistance induced against the NT2 cells by the Ad-sig-rH2N/ecdCD40L vector prime vaccination was better than the response obtained in transgenic animals vaccinated with irradiated cytokine positive tumor cells (mitomycin treated NTW cells which had been transfected with a GMCSF transcription unit).

[00145] The rH2N specific antibody levels were also measured in mice vaccinated with one or two subcutaneous injections of the Ad-sig-rH2N/ecdCD40L vector. As shown below in Figure 11, the levels of the rH2N specific antibody levels were higher following two subcutaneous injections than following a single subcutaneous injection of the Ad-sig-rH2N/ecdCD40L vector.

8. Construction of adenoviral vectors encoding huHER2/CD40L

[00146] An adenoviral vector encoding sig ecdhuHER2/CD40L was prepared as follows. The mouse IgG kappa chain METDTLLLWVLLLWVPGSTGD (single letter amino acid code) (SEQ ID NO: 11) was prepared by PCR amplification (SEQ ID NOs: 12, 13 and 45) to generate the full 21 amino acid mouse IgG kappa chain signal sequence (the start codon "ATG" is shown bolded in SEQ ID NO:12).

5'-CCACC **ATG** GAG ACA GAC ACA CTC CTG CTA TGG GTA CTG CTG-3'
(SEQ ID NO: 12)

5'- TC CTG CTA TGG GTA CTG CTG CTC TGG GTT CCA GGT TC-3'
(SEQ ID NO:13)

The forward primer

5'-5'- TG CTC TGG GTT CCA GGT TCC ACT GGT GAC GAA CTC -3'(SEQ ID NO:45)

The forward primer for the human HER2 extracellular domain

5'- TCC ACT GGT GAC GAACTCACCTACCTGCCCCACCAATGC-3' (SEQ ID NO:46)

The reverse Primer for the human HER2 extracellular domain

5'- GGAGCTCGAG GGCTGGGTCCCCATCAAAGCTCTC-3' (SEQ ID NO:47)

sig-ecdhHER2 with the upstream kappa signal sequence is generated by four rounds of PCR amplification (1st round: primers SEQ ID NOs 46 and 47; 2nd round: primer SEQ ID NOs 45 and 47; 3rd round: primer SEQ ID NOs 13 and 47; 4th round: primer SEQ ID NOs 12 and 47). The sig-ecdhHER2 encoding DNA can be cloned into the pcDNATM 3.1 TOPO vector (Invitrogen, San Diego, CA) forming pcDNA-sig-ecdhHER2. The additional cloning steps described for the MUC-1/CD40 Ligand expression vector are also applicable for the HER2/CD40 ligand expression vector.

[00147] This region HER2 extracellular domain to be fused to CD40 ligand contains two CTL epitopes; One is an HLA-A2 peptide, K I F G S L A F L (SEQ ID NO:48) representing amino acids 369-377. This peptide elicited short-lived peptide-specific immunity in HER2 expressing cancer patients. See Knutson et al., Immunization of cancer patients with a HER-2/neu, HLA-A2 peptide, Clin Cancer Res. 2002 May;8(5):1014-8p369-377. The second epitope is E L T Y L P T N A S (SEQ ID NO: 49) (HER2 residues 63-71) also was useful in generating immunity to HER2 expressing tumor cells. See Wang et al. Essential roles of tumor-derived helper T cell epitopes for an effective peptide-based tumor vaccine, Cancer Immun. 2003 Nov 21;3:16. The region of the HER2 ecd also includes a B cell epitope P L H N Q E V T A E D G T Q R C E K C S K P C (SEQ ID NO: 50) (HER2 positions 316-339). See Dakappagari et al., Chimeric multi-human epidermal growth factor receptor-2 B cell epitope peptide vaccine mediates superior antitumor responses, J Immunol. 2003 Apr 15;170(8):4242-53.

[00148] All patents and publications mentioned in the specification are indicative of the levels of those of ordinary skill in the art to which the invention pertains. All patents and publications are herein incorporated by reference to the same extent as if each individual publication was specifically and individually indicated to be incorporated by reference.

[00149] The invention illustratively described herein suitably may be practiced in the absence of any element or elements, limitation or limitations which is not specifically disclosed herein. Thus, for example, in each instance herein any of the terms "comprising," "consisting essentially of" and "consisting of" may be replaced with either of the other two

terms. The terms and expressions which have been employed are used as terms of description and not of limitation, and there is no intention that in the use of such terms and expressions of excluding any equivalents of the features shown and described or portions thereof, but it is recognized that various modifications are possible within the scope of the invention claimed. Thus, it should be understood that although the present invention has been specifically disclosed by preferred embodiments and optional features, modification and variation of the concepts herein disclosed may be resorted to by those skilled in the art, and that such modifications and variations are considered to be within the scope of this invention as defined by the appended claims.

[00150] Other embodiments are set forth within the following claims.

What is claimed is:

1. A method of generating an immune response in an individual against an antigen, comprising:

a) administering to the individual an effective amount of an expression vector, said vector comprising a transcription unit encoding a secretable fusion protein, said fusion protein comprising the antigen and CD40 ligand; and

b) administering an effective amount of a fusion protein comprising the antigen and CD40 ligand.

2. The method of claim 1 where said protein is administered after administration of the vector.

3. The method of claim 1 wherein said antigen is a polypeptide antigen.

4. The method of claim 1 wherein said antigen is an infectious agent antigen.

5. The method of claim 1 wherein said immune response is directed against a cell expressing the antigen.

6. The method of claim 1 wherein said wherein said immune response is directed against a microorganism expressing the antigen.

7. The method of claim 1 wherein said antigen is a tumor antigen.

8. The method of claim 1 wherein said tumor antigen is from HER-2.

9. The method of claim 1 wherein said tumor antigen is a mucin.

10. The method of claim 5 wherein said tumor antigen is from a mucin selected from the group consisting of MUC1, MUC2, MUC3A, MUC3B, MUC4, MUC5AC, MUC5B, MUC6, MUC7, MUC8, MUC9, MUC12, MUC13, MUC15, and MUC16.

11. The method of claim 9 wherein said mucin antigen is from MUC1.

12. The method of claim 9 wherein said mucin antigen comprises the extracellular domain of a mucin.

13. The method of claim 9 wherein said mucin antigen comprises at least one tandem repeat of a mucin.

14. The method of claim 9 wherein said mucin antigen comprises is the extracellular domain of MUC1.

15. The method of claim 1 wherein said antigen is a self antigen in the individual.

16. The method of claim 1 wherein said antigen is the E7 protein of human papilloma virus.

17. The method of claim 1 wherein said antigen is from epithelial cancer cells.

18. The method of claim 1 wherein said transcription unit encodes a linker between said antigen and said CD40 ligand.

19. The method of claim 1 wherein said vector includes a human cytomegalovirus promoter/enhancer for controlling transcription of the transcription unit.

20. The method of claim 1 wherein said vector is a viral vector.

21. The method of claim 20 wherein said viral vector is an adenoviral vector.

22. The method of claim 1 wherein said CD40 ligand is human CD40 ligand.

23. The method of claim 1 wherein said CD40 ligand lacks a cytoplasmic domain.

24. The method of claim 1 wherein said vector encodes a CD40L that includes no more than six residues from either end of the transmembrane domain.

25. The method of claim 1 wherein said vector does not encode the transmembrane domain of CD40 ligand.

26. The method of claim 1 wherein said CD40 ligand is missing all or substantially all of its transmembrane domain.

27. The method of claim 1 wherein said CD40 ligand comprises residues 47-261.

28. The method of claim 1 wherein said CD40 ligand comprises residues 1-23 and 47-261.

29. The method of claim 1 wherein said vector is rendered non-replicating in normal human cells.

30. The method of claim 1 comprising step c) which is a repeat of step b) at a later time.

31. The method of claim 1 wherein said immune response includes the generation of cytotoxic CD8⁺ T cells against said antigen.

32. The method of claim 1 wherein said immune response includes the generation of antibodies against said antigen.

33. The method of claim 1 wherein said fusion protein is administered with an adjuvant.

34. The method of claim 1 wherein said fusion protein is administered subcutaneously.

35. The method of claim 1 wherein the sequence of CD40 ligand encoded by said vector and the sequence of CD40 ligand administered as a fusion protein are different.

36. The method of claim 1 wherein the sequence of the antigen encoded by said vector and the sequence of the antigen administered as a fusion protein are different.

37. The method of claim 1 wherein the transcription unit encodes a secretory signal sequence.

38. The method of claim 1 wherein the antigen is not from CD40 ligand.

39. A method of treating an individual with cancer that expresses a tumor antigen, comprising:

a) administering to the individual an effective amount of an expression vector, said vector comprising a transcription unit encoding a secretable fusion protein, said fusion protein comprising the tumor antigen and CD40 ligand; and

b) administering an effective amount of a fusion protein comprising the tumor antigen and CD40 ligand.

40. The method of claim 39 where said protein is administered after administration of the vector.

41. The method of claim 39 wherein said tumor antigen is a mucin antigen.

42. The method of claim 41 wherein said tumor antigen is from a mucin selected from the group consisting of MUC1, MUC2, MUC3A, MUC3B, MUC4, MUC5AC, MUC5B, MUC6, MUC7, MUC8, MUC9, MUC12, MUC13, MUC15, and MUC16.

43. The method of claim 41 wherein said mucin antigen is from MUC1.

44. The method of claim 41 wherein said mucin antigen comprises the extracellular domain of a mucin.

45. The method of claim 41 wherein said mucin antigen comprises at least one tandem repeat of a mucin.

46. The method of claim 41 wherein said mucin antigen comprises the extracellular domain of MUC1.

47. The method of claim 39 wherein said tumor antigen is the E7 protein of human papilloma virus.

48. The method of claim 39 wherein said cancer cells are epithelial cancer cells.

49. The method of claim 41 wherein said cancer cells are cervical cancer cells.

50. The method of claim 41 wherein said vector includes a human cytomegalovirus promoter/enhancer for controlling transcription of the transcription unit.

51. The method of claim 41 wherein said vector is a viral vector.

52. The method of claim 51 wherein said viral vector is an adenoviral vector.

53. The method of claim 39 wherein said CD40 ligand is human CD40 ligand.

54. The method of claim 39 wherein said CD40 ligand lacks a cytoplasmic domain.

55. The method of claim 39 wherein said vector encodes a CD40L that includes no more than six residues from either end of the transmembrane domain.

56. The method of claim 39 wherein said vector does not encode the transmembrane domain of CD40 ligand.

57. The method of claim 39 wherein said CD40 ligand is missing all or substantially all of its transmembrane domain.

58. The method of claim 39 wherein said CD40 ligand comprises residues 47-261.

59. The method of claim 39 wherein said CD40 ligand comprises residues 1-23 and 47-261.

60. The method of claim 39 wherein said vector is rendered non-replicating in normal human cells.

61. The method of claim 39 comprising step c) which is a repeat of step b) at a later time.

62. The method of claim 39 wherein said immune response includes the generation of cytotoxic CD8⁺ T cells against said tumor antigen.

63. The method of claim 39 wherein said immune response includes the generation of antibodies against said tumor antigen.

64. The method of claim 39 wherein said fusion protein is administered with an adjuvant.

65. The method of claim 39 wherein said fusion protein is administered subcutaneously.

66. The method of claim 39 wherein the sequence of CD40 ligand encoded by said vector and the sequence of CD40 ligand administered as a fusion protein are different.

67. The method of claim 39 wherein the sequence of the tumor antigen encoded by said vector and the sequence of the tumor antigen administered as a fusion protein are different.

68. The method of claim 41 wherein the transcription unit encodes a secretory signal sequence.

69. The method of claim 41 wherein the antigen is not from CD40 ligand.

70. A method of generating immunity in a subject to an infectious agent, comprising;

a) administering to the individual an effective amount of an expression vector, said vector comprising a transcription unit encoding a secretable fusion protein, said fusion protein comprising an infectious agent antigen and CD40 ligand; and

b) administering an effective amount of a fusion protein comprising the infectious agent antigen and CD40 ligand.

71. The method of claim 70 wherein said protein is administered after administration of the vector.

72. The method of claim 70 wherein said infectious agent antigen is selected from the group consisting of a viral antigen, bacterial antigen, fungal antigen and protozoan antigen.

73. The method of claim 70 wherein said infectious agent antigen is a viral antigen.

74. The method of claim 73 wherein said viral antigen is the E6 or E7 protein of human papilloma virus.

75. The method of claim 70 wherein said vector includes a human cytomegalovirus promoter/enhancer for controlling transcription of the transcription unit.

76. The method of claim 70 wherein said vector is a viral vector.
77. The method of claim 70 wherein said viral vector is an adenoviral vector.
78. The method of claim 70 wherein said CD40 ligand is human CD40 ligand.
79. The method of claim 70 wherein said CD40 ligand lacks a cytoplasmic domain.
80. The method of claim 70 wherein said vector encodes a CD40L that includes no more than six residues from either end of the transmembrane domain.
81. The method of claim 70 wherein said vector does not encode the transmembrane domain of CD40 ligand.
82. The method of claim 70 wherein said CD40 ligand is missing all or substantially all of its transmembrane domain.
83. The method of claim 70 wherein said CD40 ligand comprises residues 47-261.
84. The method of claim 70 wherein said CD40 ligand comprises residues 1-23 and 47-261.
85. The method of claim 70 wherein said vector is rendered non-replicating in normal human cells.
86. The method of claim 70 comprising step c) which is a repeat of step b) at a later time.
87. The method of claim 70 wherein said immune response includes the generation of cytotoxic CD8⁺ T cells against said tumor antigen.
88. The method of claim 70 wherein said immune response includes the generation of antibodies against said tumor antigen.
89. The method of claim 70 wherein said fusion protein is administered with an adjuvant.
90. The method of claim 70 wherein said fusion protein is administered subcutaneously.

91. The method of claim 70 wherein the sequence of CD40 ligand encoded by said vector and the sequence of CD40 ligand administered as a fusion protein are different.

92. The method of claim 70 wherein the sequence of the infectious agent antigen encoded by said vector and the sequence of the infectious agent antigen administered as a fusion protein are different.

93. The method of claim 70 wherein the transcription unit encodes a secretory signal sequence.

94. The method of claim 70 wherein the antigen is not from CD40 ligand.

95. A method of simultaneous production of an expression vector that encodes a fusion protein and the fusion protein, comprising propagating in culture medium cells containing the expression vector wherein said cells replicate the vector and produce the protein expressed from the vector into the culture medium during propagation.

96. The method of claim 95 wherein said vector is an adenoviral vector.

97. The method of claim 95 wherein said expressed fusion protein is purified from the culture medium.

98. A protein comprising a transcription unit encoding a secretable fusion protein, said fusion protein comprising the antigen and CD40 ligand.

99. The protein of claim 98 wherein said antigen is a tumor antigen.

100. The protein of claim 98 wherein said antigen is an infectious agent antigen.

101. The method of claim 98 wherein said infectious disease antigen is the E7 protein of human papilloma virus.

102. The protein of claim 98 wherein said antigen is from HER2.

103. The protein of claim 98 wherein said tumor antigen is a mucin antigen.

104. The protein of claim 98 wherein said tumor antigen is from a mucin selected from the group consisting of MUC1, MUC2, MUC3A, MUC3B, MUC4, MUC5A, C, MUC5B, MUC6, MUC7, MUC8, MUC9, MUC12, MUC13, MUC15, and MUC16.

105. The protein of claim 98 wherein said mucin antigen is from MUC1.

106. The protein of claim 98 wherein said mucin antigen comprises the extracellular domain of a mucin.

107. The protein of claim 98 wherein said antigen is a self antigen in the individual.

108. The protein of claim 98 wherein said CD40 ligand is human CD40 ligand.

109. The protein of claim 98 wherein said CD40 ligand lacks a cytoplasmic domain.

110. The protein of claim 98 wherein said vector encodes a CD40L that includes no more than six residues from either end of the transmembrane domain.

111. The protein of claim 98 wherein said vector does not encode the transmembrane domain of CD40 ligand.

112. The protein of claim 98 wherein said CD40 ligand is missing all or substantially all of its transmembrane domain.

113. The protein of claim 98 wherein said CD40 ligand comprises residues 47-261.

114. The protein of claim 98 wherein said CD40 ligand comprises residues 1-23 and 47-261.

FIG. 1A

Human MUC-1 Encoding Nucleotide Sequence (SEQ ID NO: 1)

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1  ccgctccacc  tctcaagcag  ccagcgccctg  cctgaatctg  ttctgcccc  tccccaccca
61  tttcaccacc  accatgacac  cgggcaccca  gtctcctttc  ttctgctgc  tgctcctcac
121 agtgcttaca  gttgttacag  gttctgggtca  tgcaagctct  accccaggtg  gagaaaagga
181 gacttcgggt  acccagagaa  gttcagtgcc  cagctctact  gagaagaatg  ctgtgagtat
241 gaccagcagc  gtactctcca  gccacagccc  cggttcaggc  tcctccacca  ctcagggaca
301 ggatgtcact  ctggccccgg  ccacggaacc  agcttcaggt  tcagctgcca  cctggggaca
361 ggatgtcacc  tcgggtccag  tcaccaggcc  agccctgggc  tccaccaccc  cggcagccca
421 cgatgtcacc  tcagccccgg  acaacaagcc  agccccgggc  tccaccgccc  ccccagccca
481 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
541 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
601 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
661 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
721 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
781 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
841 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
901 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
961 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1021 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
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1141 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1201 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
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1321 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1381 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1441 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1501 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1561 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1621 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1681 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1741 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1801 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1861 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1921 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
1981 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2041 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2101 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2161 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2221 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2281 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2341 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2401 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2461 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2521 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2581 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2641 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2701 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2761 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2821 cgggtgcacc  tcggccccgg  acaccaggcc  ggccccgggc  tccaccgccc  ccccagccca
2881 tgggtgcacc  tcggccccgg  acaacaggcc  cgccttgggc  tccaccgccc  ctccagtcca
2941 caatgtcacc  tcggcctcag  gctctgcata  aggtcagct  tctactctgg  tgcacaacgg
3001 cacctctgcc  agggctacca  caacccagc  cagcaagagc  actccattct  caattcccag
3061 ccaccactct  gatactccta  ccacccttgc  cagccatagc  accaagactg  atgccagtag
3121 cactcaccat  agctcgggtac  ctctctcacc  ctctccaat  cacagcactt  ctccccagtt
3181 gtctactggg  gtctctttct  ttttctgtc  ttttcacatt  tcaaacctcc  agtttaattc
3241 ctctctggaa  gatcccagca  ccgactacta  ccaagagctg  cagagagaca  tttctgaaat

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FIG 1B

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3301 gtttttgcag atttataaac aaggggggttt tctgggcctc tccaatatta agttcaggcc
3361 aggatctgtg gtggtacaat tgactctggc cttccgagaa ggtaccatca atgtccacga
3421 cgtggagaca cagttcaatc agtataaaac ggaagcagcc tctcgatata acctgacgat
3481 ctcagacgtc agcgtgagtg atgtgccatt tcctttctct gccagtcctg gggctggggt
3541 gccaggctgg ggcctcgcgc tgctgggtgct ggtctgtgtt ctggttgcgc tggccattgt
3601 ctatctcatt gccttggctg tctgtcagtg ccgccgaaag aactacgggc agctggacat
3661 ctttccagcc cgggatacct accatcctat gagcgagtac cccacctacc acaccatgg
3721 gcgctatgtg cccctagca gtaccgatcg tagccoctat gagaagggtt ctgcaaggtaa
3781 cgggtggcagc agcctctctt acacaaaccc agcagtggca gccgcttctg ccaacttgta
3841 gggcacgtcg ccgctgagct gagtggccag ccagtgccat tccactccac tcaggttctt
3901 caggccagag cccctgcacc ctgtttgggc tggtgagctg ggagttcagg tgggctgctc
3961 acagcctcct tcagaggccc caccaatttc tcggacactt ctcagtgtgt ggaagctcat
4021 gtgggcccct gaggctcatg cctgggaagt gttgtggggg ctcccaggag gactggccca
4081 gagagccctg agatagcggg gatcctgaac tggactgaat aaaacgtggt ctcccactg
```

FIG. 2

Human MUC-1 Amino Acid Sequence (SEQ ID NO: 2)

	1	11	21	31	41	51
1	MTPGTQSPFF	LLLLLTVLTV	VTGSGHASST	PGGEKETSAT	QRSSVPSSTE	KNAVSMTSSV
60						
61	LSSHSPGSGS	STTQGQDVTL	APATEPASGS	AATWGQDVTS	VPVTRPALGS	TTPPAHDVTS
120						
121	APDNKPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
180						
181	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
240						
241	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
300						
301	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
360						
361	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
420						
421	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
480						
481	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
540						
541	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
600						
601	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
660						
661	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
720						
721	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
780						
781	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
840						
841	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS
900						
901	APDTRPAPGS	TAPPAHGVTS	APDTRPAPGS	TAPPAHGVTS	APDNRPALGS	TAPPVHNVTS
960						
961	ASGSASGSAS	TLVHNGTSAR	ATTPASKST	PFSIPSHHSD	TPTTLASHST	KTDASSTHHS
1020						
1021	SVPLLTSSNH	STSPQLSTGV	SFFFLSFHIS	NLQFNSSLED	PSTDY YQELQ	RDISEMFLQI
1080						
1081	YKQGGFLGLS	NIKFRPGSVV	VQLTLAFREG	TINVHDTVETQ	FNQYKTEAAS	RYNLTISDVS
1140						
1141	VSDVPFFPFA	QSGAGVPGWG	IALLVLVCVL	VALAIVYLIA	LAVCQCRRKN	YGQLDIFPAR
1200						
1201	DTYHPMSEYP	TYHTHGRYVP	PSSTRSPYE	KVSAGNGGSS	LSYTNPAVAA	ASANL

FIG. 3

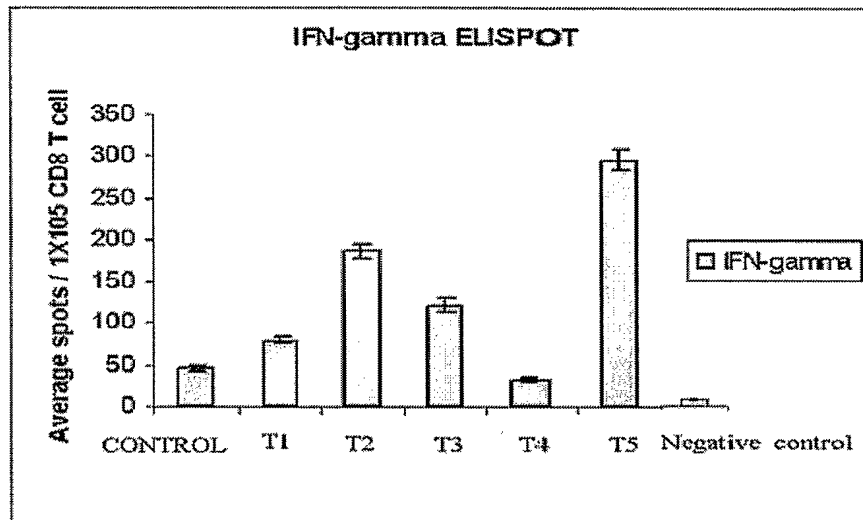


FIG. 4

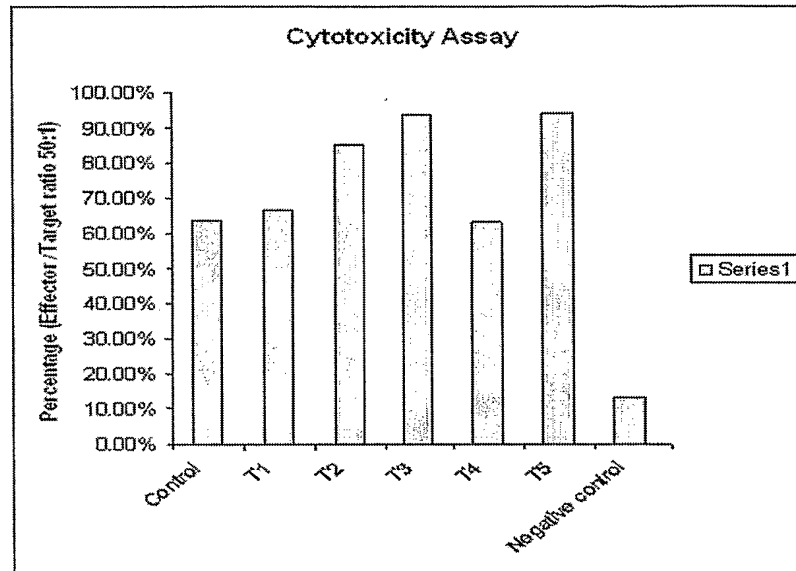


FIG. 5

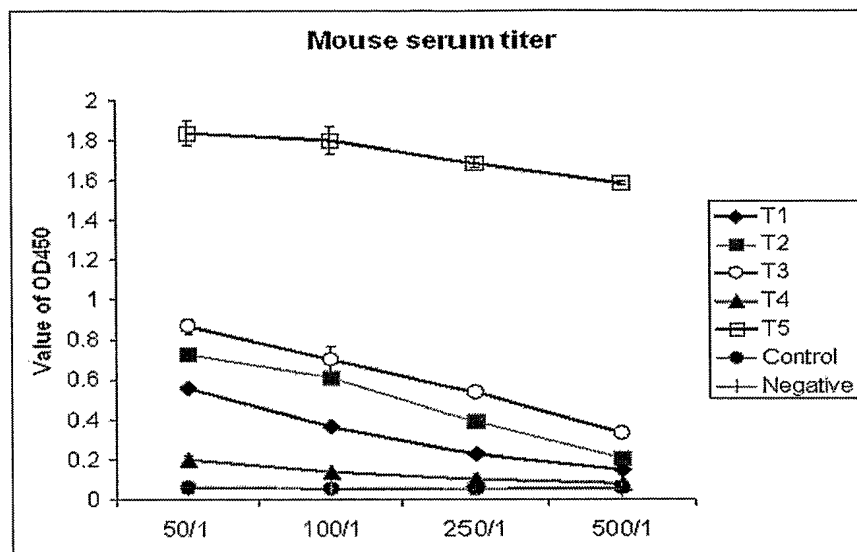


FIG. 6

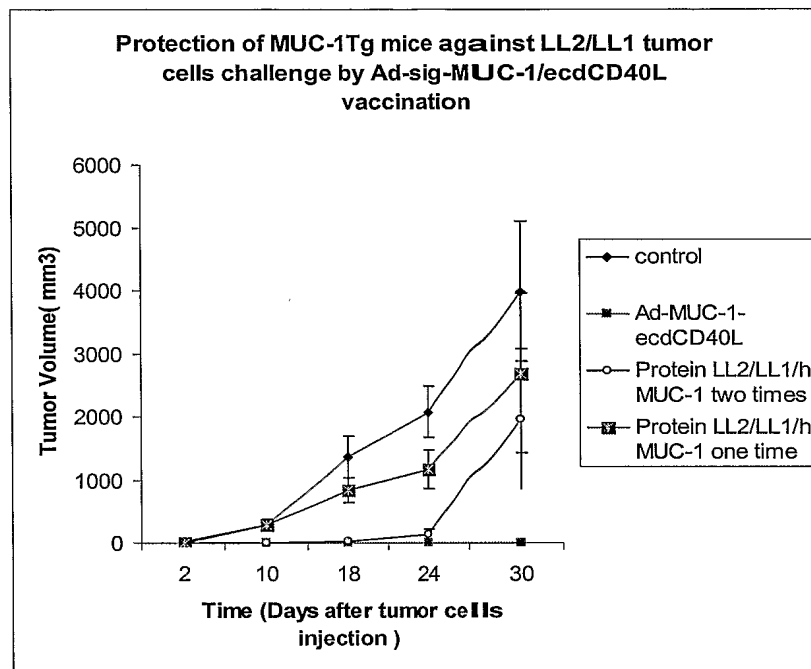


Fig. 7

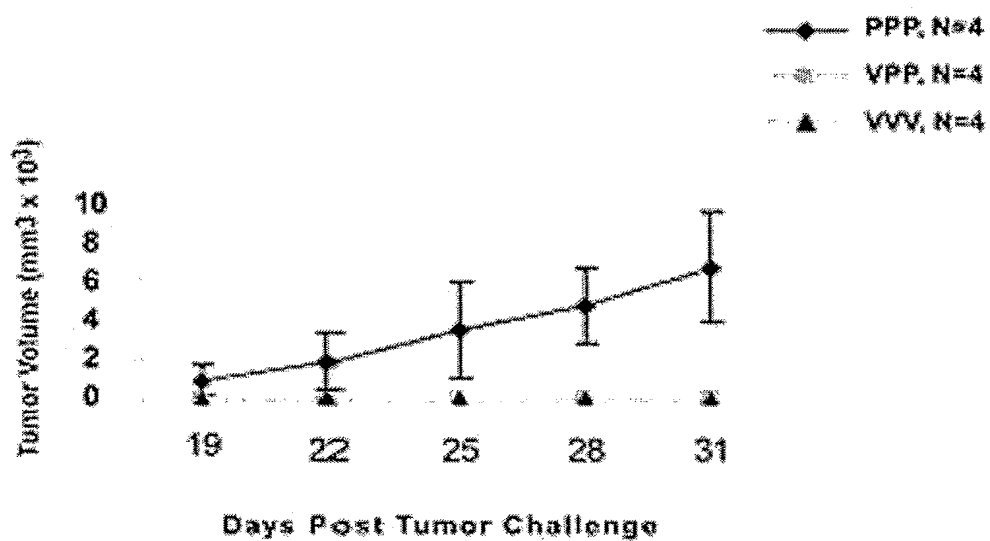


FIG 8

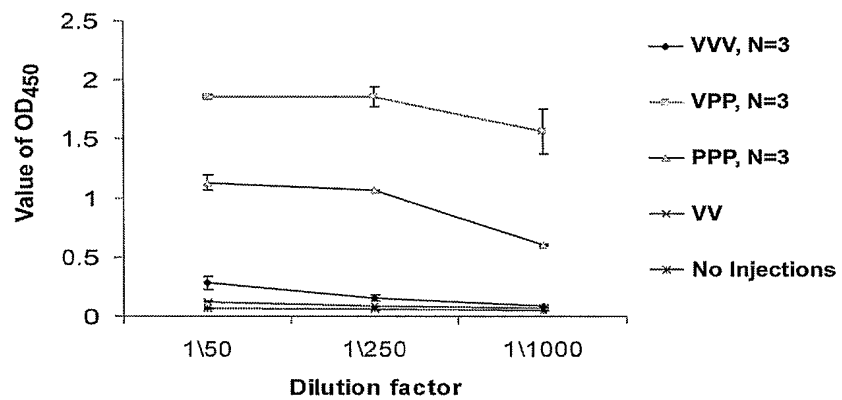


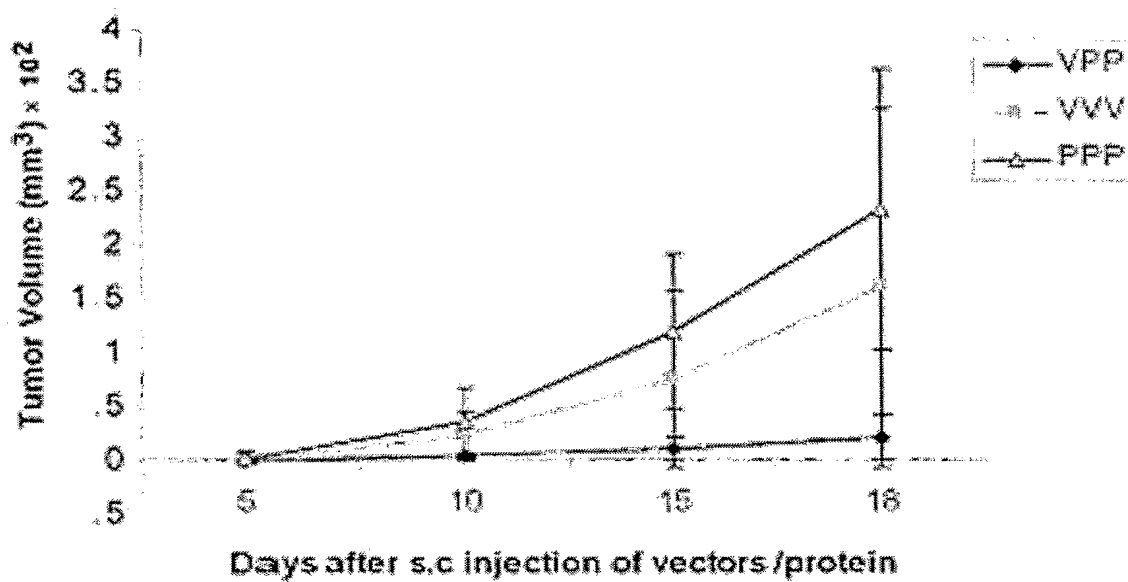
Fig. 9

Fig. 10

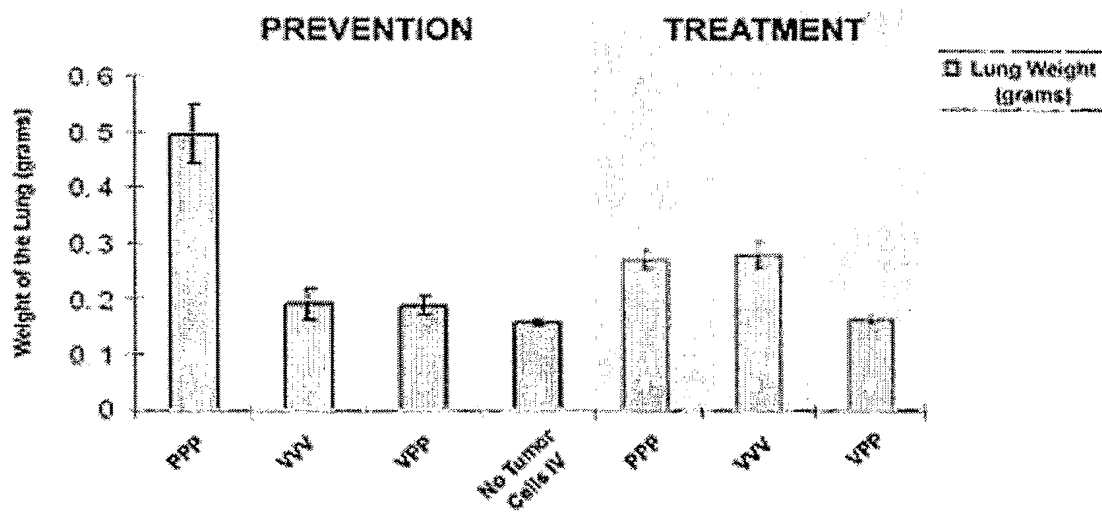
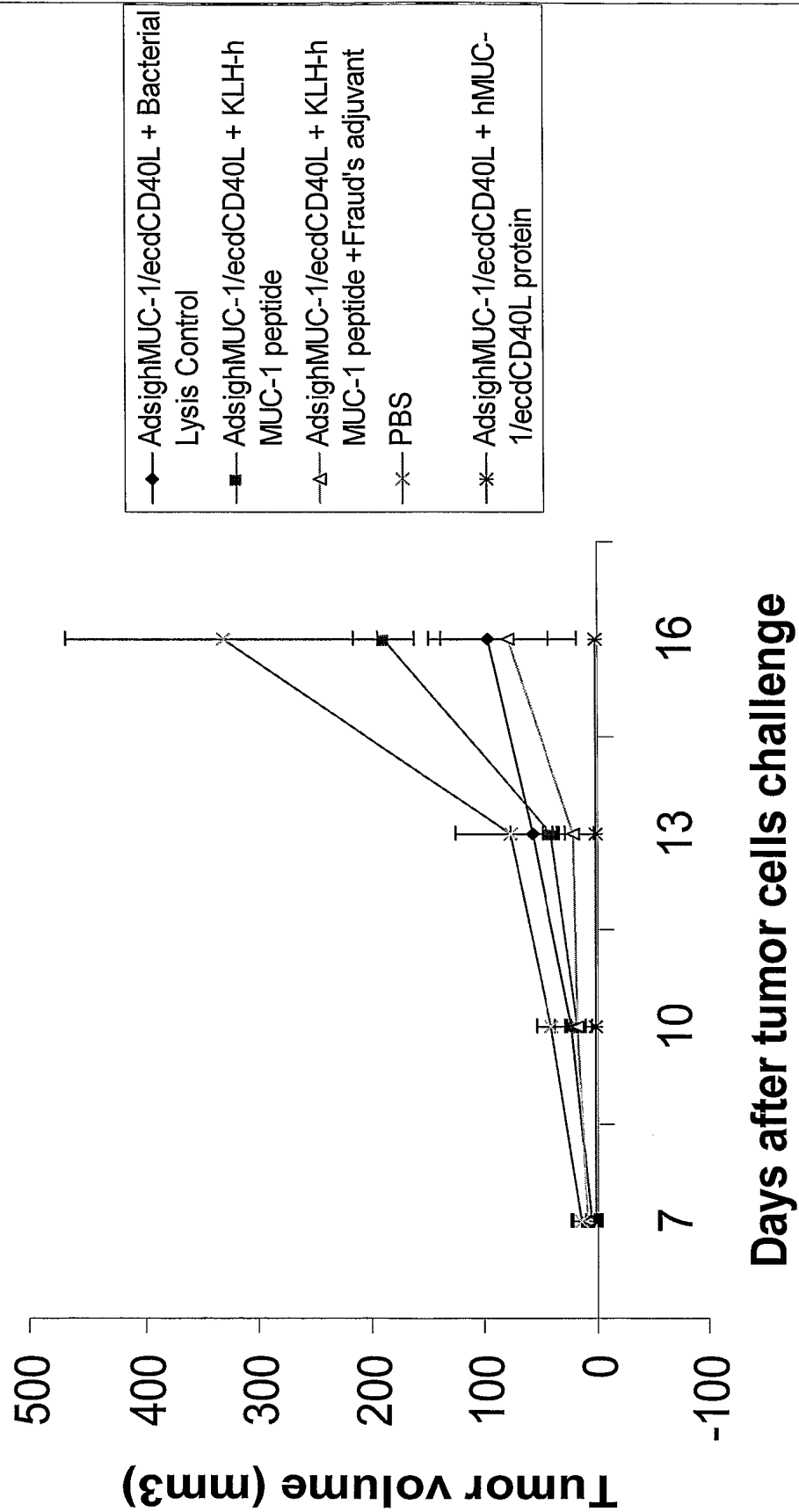


FIG. 11

Protection of C57BL/6 hMUC-1 transgenic mice against tumor cell lines challenge



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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

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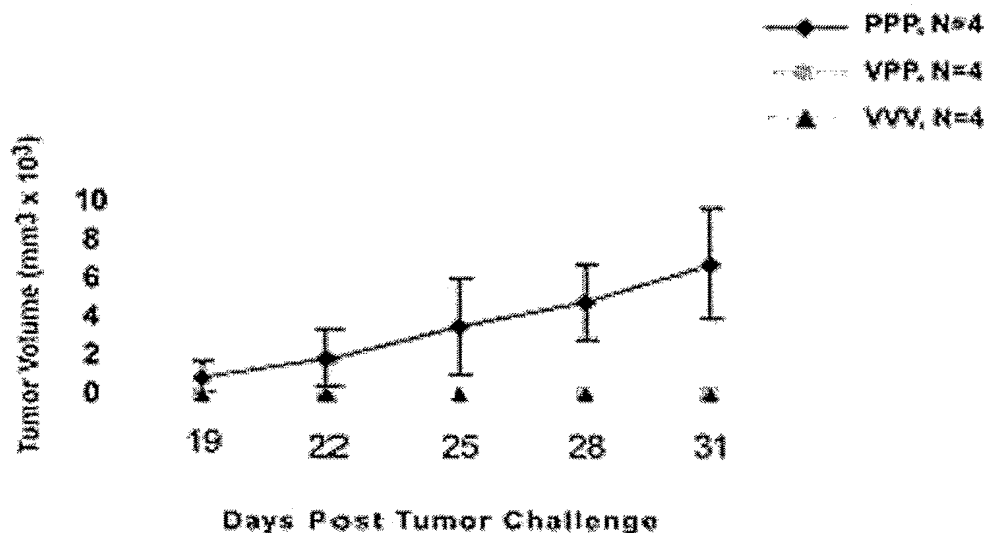
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS FOR GENERATING IMMUNITY TO ANTIGEN



(57) Abstract: Provided are methods of generating an immune response to an antigen. The method comprises priming an individual by administering an expression vector encoding the antigen. The vectors comprises a transcription unit encoding a secretable fusion protein, the fusion protein containing an antigen and CD40 ligand. Administration of a fusion protein containing the antigen and CD40 ligand is used to enhance the immune response above that obtained by vector administration alone. The invention methods may be used to generate an immune response against cancer expressing a tumor antigen such as a mucin or human papilloma viral tumor antigen and to generate an immune response against an infectious agent. Also provided is a method for simultaneously producing the expression vector and the fusion protein.

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/041690

A. CLASSIFICATION OF SUBJECT MATTER

C07K14/705 C12N15/62 A61K39/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, EMBASE, BIOSIS, MEDLINE, PAJ, WPI Data, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>ZHANG L ET AL: "An adenoviral vector cancer vaccine that delivers a tumor-associated antigen/CD40 ligand fusion protein to dendritic cells"</p> <p>PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, NATIONAL ACADEMY OF SCIENCE. WASHINGTON, US, vol. 100, no. 25, 9 December 2003 (2003-12-09), pages 15101-15106, XP002978256</p> <p>ISSN: 0027-8424</p> <p>the whole document</p> <p>-----</p> <p>-/--</p>	98-114

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

9 June 2005

Date of mailing of the international search report

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/041690

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>XIANG R ET AL: "A dual-function DNA vaccine encoding carcinoembryonic antigen and CD40 ligand trimer induces T cell-mediated protective immunity against colon cancer in carcinoembryonic antigen-transgenic mice"</p> <p>JOURNAL OF IMMUNOLOGY, THE WILLIAMS AND WILKINS CO. BALTIMORE, US, vol. 167, no. 8, 15 October 2001 (2001-10-15), pages 4560-4565, XP002247590</p> <p>ISSN: 0022-1767</p> <p>figure 1</p> <p>page 4561, column 2, paragraph 2</p> <p>page 4562, column 2, paragraph 3 - page 4563, column 2, paragraph 1</p> <p>-----</p>	<p>98,99, 102,108, 109, 111-114</p>
A	<p>DIEHL L ET AL: "CD40 activation in vivo overcomes peptide-induced peripheral cytotoxic T-lymphocyte tolerance and augments anti-tumor vaccine efficacy"</p> <p>NATURE MEDICINE, NATURE PUBLISHING, CO, US, vol. 5, no. 7, July 1999 (1999-07), pages 774-779, XP002153727</p> <p>ISSN: 1078-8956</p> <p>page 776, column 2, paragraph 2 - page 777, column 1, paragraph 1</p> <p>-----</p>	<p>1-94, 98-114</p>
A	<p>DAKAPPAGARI N K ET AL: "A chimeric multi-human epidermal growth factor receptor-2 B cell epitope peptide vaccine mediates superior antitumor responses"</p> <p>JOURNAL OF IMMUNOLOGY, THE WILLIAMS AND WILKINS CO. BALTIMORE, US, vol. 170, no. 8, 15 April 2003 (2003-04-15), pages 4242-4253, XP002290185</p> <p>ISSN: 0022-1767</p> <p>table 1</p> <p>abstract</p> <p>-----</p>	<p>1-94, 98-114</p>
A	<p>WO 01/56602 A (THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE) 9 August 2001 (2001-08-09)</p> <p>abstract</p> <p>page 24, paragraph 3</p> <p>page 25, line 23 - line 25</p> <p>example 1</p> <p>-----</p> <p style="text-align: center;">-/--</p>	<p>1-94, 98-114</p>

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/041690

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	MOINGEON P: "Cancer vaccines" VACCINE, BUTTERWORTH SCIENTIFIC. GUILDFORD, GB, vol. 19, no. 11-12, 8 December 2001 (2001-12-08), pages 1305-1326, XP004313943 ISSN: 0264-410X page 1319, column 2, paragraph 2 -----	1-94, 98-114
P,X	TANG YUCHENG ET AL: "Multistep process through which adenoviral vector vaccine overcomes anergy to tumor-associated antigens." BLOOD. 1 NOV 2004, vol. 104, no. 9, 1 November 2004 (2004-11-01), pages 2704-2713, XP009048261 ISSN: 0006-4971 figure 6a page 2712, column 2, paragraph 3 - page 2713 -----	1-94, 98-114

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2004/041690

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: **1-69**
because they relate to subject matter not required to be searched by this Authority, namely:
Although claims 1-69 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-94, 98-114

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-94,98-114

Secretable Ag-CD40L fp. Method of generating an immune response comprising administering a) expression vector coding for secretable fp Ag-CD40L and b) fp Ag-CD40L.

2. claims: 95-97

Method for production of expression vector coding for fp and fp.

Information on patent family members

PCT/US2004/041690

Form PCT/ISA/210 (patent family annex) (January 2004)